

**THE
STATE
DATA
EXCHANGE (SDX)
MANUAL**

Office of Systems Requirements
Division of Data Support and Enumeration
(DDSE)
Data Exchange Branch (DEB)
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LIST OF ACRONYMS

BHL	Boarding Home Listing
CAN	Claim Account Number
CD	Connect Direct
CO	Central Office
DO	District Office
FO	Field Office
FTMS	File Transfer Management System
HCFA	Health Care Financing Administration
HUN	Housed Under Number
IAR	Interim Assistance Reimbursement
MBR	Master Beneficiary Record
RO	Regional Office
RRB	Railroad Retirement Board
SDX	State Data Exchange
SGA	Substantial Gainful Activity
SSA	Social Security Administration
SSI	Supplemental Security Income
SSN	Social Security Number
SSR	Supplemental Security Record
U.S.	United States
VA	(Department of) Veterans Affairs
WIN	Welfare Identification Number

STATE DATA EXCHANGE (SDX)

HISTORY

States are required by various Federal policies to follow certain guidelines and procedures in the administration of federally-funded income and/or health maintenance programs. The SSI SDX files are created to provide the States with eligibility, payment and demographic data relating to SSI claimants and recipients.

GENERAL

The SDX files are provided to all States for the purpose of:

- o Establishing and maintaining State supplementation and Medicaid rolls, and
- o Support for administration of other programs involving Federal funds (e.g., food stamps, social services, etc.)

TYPES AND FREQUENCY OF SDX FILES--GENERAL

Each of the files listed below are in the 2000 character record layout format shown on pages 14-27.

1. Daily Update Files--These files contain records where a change has occurred in that record on a SDX related data element, including denial decisions, address, and/or changes in payment or eligibility amount. Update files contain separate records for eligible individuals, eligible spouses and essential persons.

NOTE: Ineligible spouses are not shown as separate individuals unless they are essential persons.
(Essential person is an ineligible person who lives in the same household as the eligible individual or couple and whose needs were taken into account in computing the amount payable to a recipient prior to conversion from one of the State adult assistance programs.)

2. Monthly Change Only Treasury File--This file is based on the Treasury Department's monthly check generation run. Therefore, only records with a payment amount will be

included on this file. This file will contain any record which had a difference in the amount of the SSI check issued by Treasury from the amount of the previous month's check.

Examples:

Last month's check \$359.69

This month's check \$410.00 * This will be included on the change only treasury

Last month's check \$359.69

This month's check \$359.69 *This will NOT be included on the change only treasury

Last month's check \$359.69

No check issued for this month (not in payment Status) *This will NOT be included on the change only treasury

3. Quarterly Reconciliation File--This file contains the latest record for each SSN and/or HUN that is currently on the SSR for individuals living in the State. It contains records in payment status, as well as those records that are no longer in payment status and those that have been denied.

NOTE: These files must be requested by the State. A master record is maintained by SSA which lists each State and the corresponding quarterly files (March/June/September/December) the State wishes to receive. States may make "standing" requests; e.g., for every quarter or certain quarters, and those files won't need to be requested each time.

4. Yearly Cost of Living (COL) File--This file reflects the cost of living increase which will be shown in the January SSI Gross Payable and Supplement Gross Payable Amount field.
5. Boarding Home Listing--This file will contain information on specific residence addresses within a State where three or more SSI checks are sent.
6. IAR/WIN Records--As requested information sent along with the daily SDX files. Except for exception files, there are no specific IAR or WIN files released by SSA. States can submit Interim Assistance (IAR) and WIN files or send updates through SVES.

IAR Records--Interim Assistance Reimbursement--State reimbursement from SSA from the first check due

individuals for some case assistance which was paid to individuals pending the SSI allowance.

WIN records--Welfare Identification Number--Accretions, changes, or deletions. SSA will also respond to a request (query record) for an up-to-date copy of an individual's record in SDX format.

7. Special Files--Created to inform States of specific classes of recipients, e.g., because of new legislation.
8. 503 Leads Files--Special files created following the computation of Title II cost-of-living increases to identify individuals who may be eligible for Medicaid continuation under the provisions of Section 503, Title V of Public Law (P.L.) 94-566.

QUESTIONS REGARDING ANY SDX MATTER SHOULD BE REFERRED TO YOUR SSA REGIONAL OFFICE CONTACT.

Region	States Serviced	Contact Name, Phone and Fax Number and Internet Address
I (Boston)	Connecticut Rhode Island Maine Massachusetts New Hampshire Vermont	Brenda Simon 617 565-2887 FAX: 617 565-9359 INTERNET: brenda.simon@ssa.gov
II (New York) New York	New Jersey	Naomi Diamond 212 264-4009 FAX: 212 264-2071 Internet: naomi.diamond@ssa.gov
III (Philadelphia)	Delaware Maryland Pennsylvania Virginia Washington, D.C. West Virginia	Frank O'Brien 215 597-4632 FAX: 215 Internet: frank.o'brien@ssa.gov
IV (Atlanta)	Alabama	Steve Roberts

	Florida Georgia Kentucky Mississippi North Carolina South Carolina Tennessee	205 801-1809 FAX: 205 Internet: steve. roberts@ssa.gov
V (Chicago)	Illinois Indiana Michigan Minnesota Ohio Wisconsin	Bork Maronn 312 575-4240 FAX: 312 575-4245 Internet: bork.maronn@ ssa.gov
VI (Dallas)	Arkansas Louisiana New Mexico Oklahoma Texas	Karen Palmer 214 767-4304 FAX: 214 767-1348 Internet: karen. palmer@ssa.gov
VII (Kansas City)	Iowa Kansas Missouri Nebraska	Bob Welch 816 936-5653 FAX: 816 936-5955 Internet: bob.welch@ssa.gov
VIII (Denver)	Colorado Montana North Dakota South Dakota Utah Wyoming	Karen Palmer 214 767-4304 FAX: 214 767-1348 Internet: karen. palmer@ssa.gov
IX (San Francisco)	Arizona California Hawaii Nevada	Jill Baker 510 970-8256 FAX: 510 970-8101 Internet: jill.baker@ssa.gov
X (Seattle)	Alaska Idaho Oregon Washington	Doug McSweyn 206 615-2129 FAX: 206 615-2643 Internet: Doug.McSweyn@ ssa.gov

SPECIFIC INFORMATION ABOUT DAILY UPDATE FILES

Daily files are created and transmitted to the States after each SSR run. SSA updates the SSR at least four times per week. Following each run of the SSR, the SDX files are created for all records where:

- o a change has occurred in that record on a SDX related data element, including a denial decision, and/or
- o a change of address, and/or
- o a change in payment or eligibility amount.

The data contained on the SDX files are either:

- o obtained directly from the SSR, or
- o generated within the SDX system based upon other data on the SSR.

The SDX update files should be processed sequentially to establish and maintain the State master files. Sequential processing is assured through interrogation of the Record Processing Date (positions 16-23) . When more than one record contains the same Record Processing Date, the Current Record Indicator (position 31) determines the latest record for that day. The highest number present in the Current Record Indicator is the latest record for that day. Actions taking place on the earlier records may be needed by the States for full historical information on the record.

States may request inclusion of pending records in their SDX files. Pending records are those records where no determination has been made regarding eligibility/ineligibility, no system-generated payment has been made, and/or the Payment Status Code value is "Hxx" or blank.

Data contained on a pending record will be minimal. Any IAR data will be shown.

The initial incidence of a pending record will reflect a Transaction Code "OP". Further updates to a pending record will reflect the normal Transaction Code. At the time of eligibility/ineligibility determination a new Transaction Code of "01" or "02" will be shown.

SPECIFIC INFORMATION ABOUT THE
CHANGE ONLY TREASURY FILES

The Change Only Treasury file is created once each month. States wishing to make a change in receipt/nonreceipt of this file should notify their regional coordinator or Elaine Homberg at 410 965-5450.

NOTE: The Change Only Treasury file is not intended to act as State master file. It should be used in conjunction with the daily update files to reconcile State master files.

The selection of the records in the SDX change only Treasury files is based on the presence of a payment action or a recovery action which changes the amount of the check for the current computation run from the previous month's total check amounts (Monthly Assistance Amount and State Supplement Amount). Thus, any Payment Status Code value can appear on the records produced.

The Change Only Treasury File will only contain records where a payment is being made and it is not the same as the payment made the prior month. The file will not contain any records where no payment is made.

NOTE: Cases with a nonpay (NXX) or suspense (SXX) Payment Status Code may appear when post-eligibility input is made subsequent to the Goldberg-Kelly notice cutoff, but prior to production of the Treasury files. Payment in these cases is made in the following month even if the Goldberg-Kelly appeal decision is that the recipient is no longer entitled. The Medicaid Eligibility Code is equal to "G" in these cases to indicate Goldberg-Kelly payment continuation.

SPECIFIC INFORMATION ABOUT RECONCILIATION FILES

The SDX reconciliation files are created once each quarter in December, March, June and September following production of the treasury files created for that month. The reconciliation files are intended to be used to ensure continued agreement between the State master file and the SSR, and establish, or reestablish, a State master record.

The SDX reconciliation files are produced based on States' requests. State requests may be made on an as needed basis, or States may request regular receipt of some or all of the reconciliations files. The reconciliation files are requested as often as necessary, but are recommended at least two times per year. To request a particular reconciliation file, please call your regional coordinator or Elaine Homberg at area code 410 954-5450 or Judith Sponaule at area code 410 965-5733.

The SDX reconciliation files are designed to help States validate the accuracy and currency of their master file. They contain the latest record for each SSN and/or HUN (housed under number) that is currently on the SSR for individuals living in the requesting State.

Although corrections should be made to reconcile discrepancies between the State's master record and the reconciliation record, the reconciliation file itself is NOT an update file and should not be used as such. Doing so defeats the purpose of a reconciliation (identification of problem areas, analysis and solution for future processing).

SSA recommends comparing the SDX reconciliation file to the State master file for analysis purposes. During the comparison, update transactions can be prepared by the State where necessary and placed on a separate file. Once the State is satisfied with the results (through analysis and evaluation), it processes the created update file.

Several points to consider when preparing for the reconciliation file are:

- o The SDX reconciliation record is a picture of the master record and is as current as the Treasury record for the first month of the calendar quarter. In order to have a successful operation, the State master file should be updated through the Treasury for the first month of

the calendar quarter before reconciliation can occur.

- o The reconciliation operations should take place as soon as possible after the State receives the reconciliation file. There will be differences between the State master file and the reconciliation file for any records updated subsequent to the last Treasury Change Only File.
- o The reconciliation file is NOT an update file. There are no new accretions, terminations or changes on it.
- o The reconciliation file contains the last record on the SSR for each individual HUN. This number represents the SSN under which payment is being made. Records in an active payment status, (Payment Status Code "C01" or "M01") an administrative suspense status (Sxx), a terminated status (Txx), or nonpay status (Nxx) including initially denied cases, may appear on the State's reconciliation file.
- o Pending cases, i.e., application was filed, but SSA has not made a decision yet, and cases in a "hold" status are not included on reconciliation files and update files unless requested by the individual States.

THE TRANSACTION CODE FOR ALL RECONCILIATION RECORDS IS EQUAL TO "RF".

SPECIFIC INFORMATION ABOUT
503 LEADS FILES

These special files are prepared following the computation of Title II cost-of-living increases to identify individuals who may be eligible for Medicaid continuation under the provision of Section 503, Title V of Public Law (P.L.) 94-566. This provision requires States to disregard a Title II cost-of-living adjustment (COLA) and subsequent COLA's when an individual or spouse would continue to be eligible for Federally-administered benefits but for the Title II COLA.

There are three sets of files created:

- * Individuals and spouses made ineligible by the Title II COLA,
- * Individuals and spouses whose income including the Title II COLA causes ineligibility, and
- * A Massachusetts only version of the second file.

The "503" leads files are scheduled to be ready for delivery in the month before the month in which the increase is to be paid. For example, if the cost-of-living increase is to be paid in the January 1st check, the "503" leads file will be sent in December.

Records included in the "503" leads file are in the SDX format and are identified by a constant Transaction Code of "BJ".

Each file is composed of records which were in current payment status, (Payment State Code "CO1") for the month preceding the increase and in non-pay status (Payment State Code "NO1" or "EO1") in the following month due to excess income being applied to the eligible individual's record or spouse's record, AND the eligible individual or spouse's non-pay status is caused by applying the title II COLA increase to the eligible individual or spouse's record.

This file also includes individuals who have lost 1619(b) eligibility due to the COLA ("NO1", to "NO1").

The eligible individual must have received Title II income in the month relating to the cost-of-living increase to be included in the file.

The "503" leads files contains the terminated type "All income amount", as well as the current type "A" amount. The current

payment amounts of all other types of income the recipient is receiving are included when space permits.

SPECIFIC INFORMATION ABOUT BOARDING HOME FILES

Under the provision of Section 1616(e) of the Act, States are responsible for ensuring maintenance of certain standards in housing units containing three or more unrelated SSI recipients.

As an aid to the States, the SDX BHL (boarding home listing) provides information on specific residence addresses within a State where three or more SSI checks are sent. Non-relationship is assumed through comparison of surnames. The listings are available upon request through the SSA RO's and are used by the States to enforce, or to investigate compliance with Federal, State and/or local ordinances.

The BHL's are created from the reconciliation file. Listings may be requested at any time. SSA would prefer that magnetic tape flat file print format listings be requested, but can also prepare paper listings. All listings are in print format.

The listing consists of an index of all addresses selected within a State, a bar graph indicating the number of recipients at each address, the page number on the detailed listing containing each address chosen, and the Individual's Name, Date of Birth, SSN's, and other information for each recipient residing there.

The listings are sorted in county sequence, ZIP code within county, and alphabetically by address within ZIP code.

Addresses selected for listings have a Federal Living Arrangement Code other than "D" (eliminating Title XIX institutions) and the presence of three or more unrelated (based upon surname) SSI recipients at that address.

Address segments containing elements indicating general delivery, post office boxes, rural routes (RR and RFD), apartments, floors, and lots are excluded.

Two versions of the listings (full and abbreviated) exist. The abbreviated version has the more restrictive selection criteria. Either version, or a slightly modified version suited to a specific State's needs, may be requested. Requests for standard versions require two weeks, while requests requiring program modifications (e.g., specific sort requirements, etc.) take longer.

SPECIFIC INFORMATION ABOUT
SPECIAL FILES

States may request assistance from SSA, via the RO's, in usage of SDX files for various statistical or programmatic functions. This may be technical advice or information, or, in some instances, SSA production of files dedicated to a specific function, in formats different from standard SDX files. All such requests are weighed in consideration of the Privacy and Freedom of Information Act, cost effectiveness, and SSA's capability to honor such requests.

The average time frame for completion of special files is 3 months after request is received and approved in central office.

These requests should be in a written memo addressed to the Associate Commissioner for Systems Requirements and should provide specific information for preparation of file, e.g. information needed, record layout for input/output file, means of return (cartridge, paper, electronic), etc. The request should include an explanation of the use of the requested file. This will allow SSA to determine if the request is allowed under the provisions of the Privacy and Freedom of Information Acts. The mailing address for the Associate Commissioner for Systems Requirements is:

OSR
6401 Security Boulevard
Room 3224 WHR
Baltimore, Maryland 21235-6401

SDX SORT OPTIONS

The SDX files are sorted based on the States' selection.

The sequence of the SDX records within a file varies by State. A state can request that their records be sequenced within a maximum of three sequences from the following options:

- a. SSN,
- b. WIN of Recipient,
- c. Category (aged, blind, disabled),
- d. County,
- e. Surname, and
- f. Originating Office.

Additionally, to facilitate more frequent SSR processing, a minor sort sequence will be added to the States major sort sequence. For those States using SSN as a major sort sequence, the sort sequence will be:

- a. SSN,
- b. HUN,
- c. Record Processing Date, and
- d. Current Record Indicator.

For all other States the minor sort sequence is as follows:

- a. HUN,
- b. Record Processing Date, and
- c. Current Record Indicator.

SDX RECORD LAYOUT

KEY FOR TYPE COLUMN

A=ALPHA

N=NUMERIC

AS=ALPHA, SPECIAL CHARACTER

ANS=ALPHA, NUMERIC, SPECIAL CHARACTER

LENGTH	DATA ELEMENT	TYPE	POSITION
4	Record Length	N	1-4
1	Record Identification Code	A	5
2	Transaction Code	AN	6-7
8	Record Establishment Date	N	8-15
8	Record Processing Date	N	16-23
6	Redetermination Date	N	24-29
1	Reaccretion Indicator	A	30
1	Current Record Indicator	N	31
2	Last Transaction Type	AN	32-33
8	Last Transaction Date	N	34-41
1	Record Source Code	A	42
9	SSN	N	43-51
12	Claim Number	AN	52-63
2	Recipient Type Code	A	64-65
30	Individual's Name	AS	66-95
6	Other Name	AS	96-101
8	Date of Birth with Century	N	102-109
1	Sex Code	A	110
1	Race Code	A	111
1	Zebley Indicator	A	112
1	Marital Status	N	113
1	Payee Address - First Line Code	N	114
1	Payee Name and Address Number of Lines	N	115
210	Payee Name and Mailing Address	ANS	116-325
5	Payee ZIP Code	AN	326-330

4	Payee ZIP + 4 Code	A N	331-334
1	First Line of Recipient's Name	N	335
1	Residence Address Number of Lines	N	336
175	Residence Address	AN	337-511
LENGTH	DATA ELEMENT	TYPE	POSITION
5	Residence ZIP Code	AN	512-516
4	Residence ZIP + 4 Code	AN	517-520
3	DO Code (Servicing)	AN	521-523
10	Telephone Number	N	524-533
8	Application Date	N	534-541
8	Application Filing Date	N	542-549
3	Denial Code	AN	550-552
8	Denial Date	N	553-560
8	Death Date	N	561-568
1	Death Date Source Code	N	569
8	SSI/Optional SSP Eligibility Date	N	570-577
1	Alien Indicator Code	AN	578
6	Alien Date of Residence	N	579-584
2	Country of Origin	A	585-586
1	Resource Code - House	A	587
1	Resource Code - Vehicle	A	588
1	Resource Code - Life Insurance	A	589
1	Resource Code - Income Producing Property	A	590
1	Resource Code - Other	A	591
1	Third Party Insurance Indicator	A	592
1	Medicaid - Unpaid Medical Expense Indicator	A	593
6	Third Party Event Liability Date	N	594-599
6	Food Stamp Input Date	N	600-605

1	Food Stamp Recipient Status	A	606
1	Food Stamp Application	A	607
22	Win of Recipient	ANS	608-629
1	Essential Person Indicator	AN	630
9	SSN of Essential Person of Eligible Individual	N	631-639
LENGTH	DATA ELEMENT	TYPE	POSITION
22	WIN of Essential Person	ANS	640-661
9	SSN of Eligible Spouse/Parent	N	662-670
22	WIN of Eligible Spouse	ANS	671-692
1	Ineligible Spouse/Parent Indicator	A	693
30	Name of Ineligible Spouse or Parent	N	694-723
9	SSN of Ineligible Spouse or Parent	N	724-732
12	CAN of Ineligible Spouse or Parent	AN	733-744
22	WIN of Ineligible Spouse or Parent	ANS	745-766
7	Earned Income Wage Estimate - Ineligible Spouse or Parent	N	767-773
7	Net Self-Employment Income Estimate - Ineligible Spouse or Parent	N	774-780
1	Unearned Income Type Code - Ineligible Spouse or Parent	A	781
7	Unearned Income Amount - Ineligible Spouse or Parent	N	782-788
1	Unearned Income Frequency - Ineligible Spouse or Parent	A	789
1	Unearned Income Type Code - Ineligible Spouse or Parent	A	790
7	Unearned Income Amount - Ineligible Spouse or Parent	N	791-797
1	Unearned Income Frequency - Ineligible Spouse or Parent	A	798

1	Unearned Income Type Code - Ineligible Spouse or Parent	A	799
7	Unearned Income Amount -Ineligible Spouse or Parent	N	800-806
1	Unearned Income Frequency- Ineligible Spouse or Parent	A	807

LENGTH	DATA ELEMENT	TYPE	POSITION
1	Ineligible Spouse/Parent Indicator	A	808
30	Name of Ineligible Spouse or Parent	ANS	809-838
9	SSN of Ineligible Spouse or Parent	N	839-847
12	CAN of Ineligible Spouse or Parent	AN	848-859
22	WIN of Ineligible Spouse or Parent	ANS	860-881
7	Earned Income Wage Estimate - Ineligible Spouse or Parent	N	882-888
7	Net Self-Employment Income Estimate - Ineligible Spouse or Parent	N	889-895
1	Unearned Income Type Code - Ineligible Spouse or Parent	A	896
7	Unearned Income Amount - Ineligible Spouse or Parent	N	897-903
1	Unearned Income Frequency - Ineligible Spouse or Parent	A	904
1	Unearned Income Type Code - Ineligible Spouse or Parent	A	905
7	Unearned Income Amount - Ineligible Spouse or Parent	N	906-912
1	Unearned Income Frequency - Ineligible Spouse or Parent	A	913

1	Unearned Income Type Code - Ineligible Spouse or Parent	A	914
7	Unearned Income Amount - Ineligible Spouse or Parent	N	915-921
1	Unearned Income Frequency - Ineligible Spouse or Parent	A	922
8	Representative Selection Date	N	923-930
3	Custody Code	A	931-933
1	Competency Code	A	934
LENGTH	DATA ELEMENT	TYPE	POSITION
3	Type of Payee Code	A	935-937
1	Disability Payment Code	A	938
8	Onset Date of Disability/Blindness	N	939-946
1	Blank		947
1	Rollback Code	N	948
1	Federal Countable Income (Dec 1973) Source Code	N	949
7	Federal Countable Income (Dec. 1973)	N	950-956
1	Federal Living Arrangement Code (Dec 1973)	A	957
2	State Code at Conversion	N	958-959
4	Welfare Office Code Dec. 1973 Conversion Only	AN	960-963
1	Direct Deposit Savings/Checking Account Indicator	A	964
1	Appeals Flag	A	965
1	Appeals Code	A	966
8	Appeals Date	N	967-974
1	SSN Cross-Reference Indicator	N	975
1	SSN - Multiple SSN Indicator	N	976
9	SSN - List of Multiple SSN's	N	977-985

1	Verification Code - Multiple SSN's	A	986
9	SSN - List of Multiple SSN's	N	987-995
1	Verification Code - Multiple SSN's	A	996
9	SSN - List of Multiple SSN's	N	997-1005

LENGTH	DATA ELEMENT	TYPE	POSITION
1	Verification Code - Multiple SSN's	A	1006
9	SSN - List of Multiple SSN's	N	1007-1015
1	Verification Code - Multiple SSN's	A	1016
9	SSN - List of Multiple SSN's	N	1017-1025
1	Verification Code - Multiple SSN's	A	1026
1	SSN Correction Indicator	A	1027
1	Qualified Medicaid Beneficiary RESERVED FOR FUTURE USE ONLY	A	1028
1	Head of Household Status Indicator	A	1029
1	Student Indicator	A	1030
2	Earned Income Period	AN	1031-1032
7	Earned Income Wage Estimate	N	1033-1039
7	Earned Income Exclusion (Plan for Achieving Self-Support)	N	1040-1046
7	Earned Income - Net Self-Employment Estimate	N	1047-1053
7	Blind Work Expenses Exclusion	N	1054-1060
1	Unearned Income - Number of Entries	N	1061
1	Unearned Income Overflow Indicator	N	1062
1	Unearned Income Type Code	A	1063
6	Unearned Income Start Date	N	1064-1069
6	Unearned Income Stop Date	N	1070-1075
7	Unearned Income Amount	N	1076-1082

1	Unearned Income Frequency	A	1083
12	Claim Identification Number	AN	1084-1095
1	Unearned Income Verification Code	N	1096
1	Unearned Income Type Code	A	1097
6	Unearned Income Start Date	N	1098-1103
6	Unearned Income Stop Date	N	1104-1109
LENGTH	DATA ELEMENT	TYPE	POSITION
7	Unearned Income Amount	N	1110-1116
1	Unearned Income Frequency	A	1117
12	Claim Identification Number	AN	1118-1129
1	Unearned Income Verification Code	N	1130
1	Unearned Income Type Code	A	1131
6	Unearned Income Start Date	N	1132-1137
6	Unearned Income Stop Date	N	1138-1143
7	Unearned Income Amount	N	1144-1150
1	Unearned Income Frequency	A	1151
12	Claim Identification Number	AN	1152-1163
1	Unearned Income Verification Code	N	1164
1	Unearned Income Type Code	A	1165
6	Unearned Income Start Date	N	1166-1171
6	Unearned Income Stop Date	N	1172-1177
7	Unearned Income Amount	N	1178-1184
1	Unearned Income Frequency	A	1185
12	Claim Identification Number	AN	1186-1197
1	Unearned Income Verification Code	N	1198
1	Unearned Income Type Code	A	1199
6	Unearned Income Start Date	N	1200-1205
6	Unearned Income Stop Date	N	1206-1211
7	Unearned Income Amount	N	1212-1218

1	Unearned Income Frequency	A	1219
12	Claim Identification Number	AN	1220-1231
1	Unearned Income Verification Code	N	1232
1	Unearned Income Type Code	A	1233
6	Unearned Income Start Date	N	1234-1239
6	Unearned Income Stop Date	N	1240-1245
7	Unearned Income Amount	N	1246-1252

LENGTH	DATA ELEMENT	TYPE	POSITION
1	Unearned Income Frequency	A	1253
12	Claim Identification Number	AN	1254-1265
1	Unearned Income Verification Code	N	1266
1	Unearned Income Type Code	A	1267
6	Unearned Income Start Date	N	1268-1273
6	Unearned Income Stop Date	N	1274-1279
7	Unearned Income Amount	N	1280-1286
1	Unearned Income Frequency	A	1287
12	Claim Identification Number	AN	1288-1299
1	Unearned Income Verification Code	N	1300
1	Unearned Income Type Code	N	1301
6	Unearned Income Start Date	N	1302-1307
6	Unearned Income Stop Date	N	1308-1313
7	Unearned Income Amount	N	1314-1320
1	Unearned Income Frequency	A	1321
12	Claim Identification Number	AN	1322-1333
1	Unearned Income Verification Code	N	1334
1	Unearned Income Type	N	1335
1	Unearned Income Start Date	N	1336-1341
6	Unearned Income Stop Date	N	1342-1347
7	Unearned Income Amount	N	1348-1354
1	Unearned Income Frequency	N	1355
12	Claim Identification Number	AN	1356-1367
1	Unearned Income Verification Code	N	1368
57	Blanks		1369-1425

1	Institutionalization Determination Code	A	1426
7	SSI Monthly Assistance Amount	N	1427-1433
7	SSI Gross Payable Amount	N	1434-1440
LENGTH	DATA ELEMENT	TYPE	POSITION
7	State Supplement Amount	N	1441-1447
7	State Supplement Gross Payable Amount	N	1448-1454
8	Payment Date	N	1455-1462
7	SSI Monthly Assistance Amount	N	1463-1469
7	State Supplement Amount	N	1470-1476
8	Payment Date	N	1477-1484
7	SSI Monthly Assistance Amount	N	1485-1491
7	State Supplement Amount	N	1492-1498
8	Payment Date	N	1499-1506
7	SSI Monthly Assistance Amount	N	1507-1513
7	State Supplement Amount	N	1514-1520
7	Advance Payment Amount	N	1521-1527
1	Conditional Payment	A	1528
1	Multicategory Indicator	AN	1529
1	Special Needs Code (Other than Essential Person)	A	1530
1	Concurrent State Payment Code	AN	1531
6	Month of Change	N	1532-1537
1	Medicaid Eligibility Code	A	1538
3	Payment Status Code	ANS	1539-1541
3	Federal Living Arrangement Code	A	1542
1	Living Arrangement Code - Optional State Supplement	A	1543
5	State and County Code of	N	1544-1548

	Jurisdiction		
6	Month of Change	N	1549-1554
1	Medicaid Eligibility Code	A	1555
3	Payment Status Code	ANS	1556-1558
1	Federal Living Arrangement Code	A	1559

LENGTH	DATA ELEMENT	TYPE	POSITION
1	Living Arrangement Code - Optional State Supplement	A	1560
5	State and County Code of Jurisdiction	N	1561-1565
6	Month of Change	N	1566-1571
1	Medicaid Eligibility Code	A	1572
3	Payment Status Code	ANS	1573-1575
1	Federal Living Arrangement Code	A	1576
1	Living Arrangement Code- Optional State Supplement	A	1577
5	State and County Code of Jurisdiction	N	1578-1582
6	Month of Change	N	1583-1588
1	Medicaid Eligibility Code	A	1589
3	Payment Status Code	ANS	1590-1592
1	Federal Living Arrangement Code	A	1593
1	Living Arrangement Code - Optional State Supplement	A	1594
5	State and County Code of Jurisdiction	N	1595-1599
6	Month of Change	N	1600-1605
1	Medicaid Eligibility Code	A	1606
3	Payment Status Code	ANS	1607-1609
1	Federal Living Arrangement Code	A	1610
1	Living Arrangement Code - Optional State Supplement	A	1611
5	State and County Code of Jurisdiction	N	1612-1616
6	Month of Change	N	1617-1622
1	Medicaid Eligibility Code	A	1623

3	Payment Status Code	ANS	1624-1626
1	Federal Living Arrangement Code	A	1627
1	Living Arrangement Code - Optional State Supplement	A	1628
LENGTH	DATA ELEMENT	TYPE	POSITION
5	State and County Code of Jurisdiction	N	1629-1633
6	Month of Change	N	1634-1639
1	Medicaid Eligibility Code	A	1640
3	Payment Status Code	ANS	1641-1643
1	Federal Living Arrangement Code - Optional State Supplement	A	1644
1	Living Arrangement Code - Optional State Supplement	A	1645
5	State and County Code of Jurisdiction	N	1646-1650
6	Month of Change	N	1651-1656
1	Medicaid Eligibility Code	A	1657
3	Payment Status Code	ANS	1658-1660
1	Federal Living Arrangement Code	A	1661
1	Living Arrangement Code - Optional State Supplement	A	1662
5	State and County Code of Jurisdiction	N	1663-1667
8	Month of Change	N	1668-1673
1	Medicaid Eligibility Code	A	1674
3	Payment Status Code	ANS	1675-1677
1	Federal Living Arrangement Code	A	1678
1	Living Arrangement Code - Optional State Supplement	A	1679
5	State and County Code of Jurisdiction	N	1680-1684
6	Month of Change	N	1685-1690
1	Medicaid Eligibility Code	A	1691
3	Payment Status Code	ANS	1692-1694

1	Federal Living Arrangement Code	A	1695
1	Living Arrangement Code - Optional State Supplement	A	1696

LENGTH	DATA ELEMENT	TYPE	POSITION
5	State and County Code of Jurisdiction	N	1697-1701
1	Medicare Entitlement Code	A	1702
1	Medicaid Test Indicator	A	1703
8	Medicaid Effective Date	N	1704-1711
8	Date Residency Began	N	1712-1719
1	Federal Eligibility Code	A	1720
1	Optional State Eligibility Code	A	1721
1	Mandatory Eligibility Code	A	1722
1	Budget Month Flag	N	1723
1	Federal Living Arrangement Code - Budget Month	A	1724
7	Unearned Income -Retrospective Net Countable Amount	N	1725-1731
7	Earned Income - Retrospective Net Countable Amount	N	1732-1738
7	Deemed Income Amount (Retrospective)	N	1739-1745
7	Earned Income - Net Countable Amount	N	1746-1752
7	Unearned Income - Net Countable Amount	N	1753-1759
7	Deemed Income Amount	N	1760-1766
7	State Benefit Amount	N	1767-1773
1	IAR Status Code	N	1774
5	State and County Code of Reimbursement	AN	1775-1779
1	Overpayment/Underpayment Indicator	A	1780
7	Overpayment Balance	N	1781-1787
7	Current Month's Recovery Amount	N	1788-1794

8	Overpayment Waiver Date	N	1795-1802
7	Overpayment Waiver Amount	N	1803-1809

LENGTH	DATA ELEMENT	TYPE	POSITION
12	Converted Railroad Board Number	AN	1810-1821
1	Alien Sponsor Status Code	A	1822
1	Alien Eligibility Code	N	1823
13	Bank Transit/Routing Number	AN	1824-1836
17	Bank Account Number	AN	1837-1853
2	Foreign Language Code	A	1854-1855
2	Appeals Decision Code	A/N	1856-1857
8	Appeals Decision Code Date	N	1858-1865
2	Appeal Reason	A	1866-1867
2	MN Diary	A	1868-1869
8	MN Diary Date	N	1870-1877
2	TOA (Type of Action)	A/N	1878-1879
1	Current Composition Code	N	1880
1	Selection Indicator Code	A/N	1881
78	Blanks		1882-1959
40	Reserved for State Use		1960-1999
1	Reserved for Wire Transmission Character		2000

DATA ELEMENT DEFINITIONS

Advance Payment
Amount

[1521-1527]

This is a (\$\$\$\$\$cc) seven position numeric display of the SSR DSMA ADVPAY (ADVANCE PAYMENT AMOUNT).

This is the amount of emergency payment to the recipient. This amount is subtracted from the next scheduled payment(s). These data are not removed from the record.

Alien Date of
Residence

[579-584]

This is a (MMCCYY) six position numeric display of the DSMA ALIEN-RD (relative date).

This is the month, century and the year of residence in the U.S. An entry of "011974" means residency began in January 1974 or earlier. For all other dates; if input prior to October 1980, it is the actual year that residency began with a deemed month of January; if input after September 1980, it is the actual month, century and year that residency began.

Alien Eligibility
Code

[1823]

This is a one position numeric display of the SSR DSMA ALIEN ELIG

Code	Value
------	-------

- | | |
|---|---|
| 1 | Alien admitted to U.S. as a refugee under section 207 of the INA - can receive SSI for 5 years beginning with the date of entry into the U.S. |
| 2 | Alien whose deportation has been withheld under section 243(h) of the INA - can receive SSI for 5 years beginning with the date the deportation was withheld. |
| 3 | Alien lawfully admitted for permanent residence who has been credited with 40 work credits. These credits can be earned from |

SDX Data Element Definitions

the alien's work or from work done by a parent or spouse of the alien. For initial claims filed after 8/22/96, these aliens cannot receive SSI for the first 5 years they are in the U.S.

- 4 Alien on active duty military/veteran
- 5 Spouse of active duty military/veteran
- 6 Child of active duty military/veteran
- 7 This code applies to qualified aliens coded F, G, I, J, K, L, S, X, Y Z or 3 in the Alien Indicator Code field who were receiving SSI benefits on 8/22/96
- 8 Aged alien who is legally residing in the US on 8/22/96 and require a disability determination
- 9 Nonqualified aliens coded H, P, R, T, W or J who were receiving SSI on 8/22/96

Alien
Indicator Code
[578]

This is a one position Alpha/Numeric Display of the SSR DSMA ALIEN (ALIEN REFUGEE INDICATOR)

This indicates the alien status of eligible/ineligible individual.

Code	Value
1	No status alleged
2	Valid status alleged, but not proven -N13 being processed
3	American immigrant
4	North American Indian
A	Proven U.S. born - U.S. citizen

SDX Data Element Definitions

B	Alleged U.S.born - U.S. citizen
C	U.S. citizen born outside of the U.S. Includes naturalized citizen and U.S. citizens born abroad to U.S. citizen parents.
E	No citizenship or alien status development undertaken; case denied for reason(s) other than citizenship/alien status
F	Refugee status - section 207 or 203(A)(7) of the I.N.A.
G	Parole status - Section 212(d)(5) of the I.N.A.
J	Deportation has been withheld pursuant to section 243(h) of the INA, or meets one of the following criteria: <ul style="list-style-type: none">O Deferred action status alieno Residence of U.S. pursuant to an Order of Supervisiono Properly filed an application with Immigration & Naturalization Service (INS) for adjustment of status
K	Alien lawfully admitted to the U.S. for permanent residence
L	Asylum status - Section 208 of the I.N.A.
N	Identify and citizenship of the individual verified by the Numident interface (code was previously B) individual is a U.S. citizen
P	Pre-January 01, 1972 alien (presumably lawfully admitted for permanent residence)
Q	Alleged born in the U.S. - allegation corroborated by a U.S. place of birth shown on the on-line Numident
R	Lawful temporary resident - status granted as a result of the Immigration Reform and Control Act of 1986
S	Lawful permanent residence - status granted as a result of the Immigration Reform and Control Act of 1986

SDX Data Element Definitions

T	Alien granted voluntary departure
U	Unknown
V	Systems override applied following interface edit (obsolete code)
W	Alien granted stay of deportation
X	Cuban/Haitian entrant
Y	Legalized agricultural worker pursuant to the Immigration Reform and Control Act of 1986. Granted permanent resident status.
Z	Alien on whose behalf an immediate relative petition has been approved; or has an approved petition, or a petition pending with INS which sets forth a prima facie case for one of the following: <ul style="list-style-type: none"> o status as an immediate relative o classification to immigrant status o suspension of deportation and adjustment to LAPR status

Alien
Sponsor Status
Code
{1822}

This is one position alpha display of the DSMA ALEIN SPONSOR CODE).

Code	Value
A	No sponsor or the 3 year deeming period has ended
B	Sponsor legally exempt from deeming (institution, church, etc.)
C	Sponsor liable for support. Sponsor has no other status, so deeming from sponsor only.
D	Sponsor liable for support. Sponsor also has status as regular deemer, so regular deeming (spouse-to-spouse or parent-to-child) applies.
E	Sponsor liable for support. SSR has a regular deemer plus the sponsor, so deeming occurs from both the regular deemer (parent/spouse) and the sponsor.
F	Same as C, but with the addition

SDX Data Element Definitions

	that the sponsor has a spouse.
G	Same as D, except sponsors are specifically identified as parents of the alien, and both parents (sponsor and sponsor's spouse) are liable for deeming.
H	Same as E, except sponsor has a spouse; i.e., deeming has been considered from at least three potential sources: the sponsor, the sponsor's spouse, and the regular deemer on the SSR (alien's ineligible spouse or parent).
J	Multiple legal sponsors exist. One or more of the sponsors may have status as a regular deemer on the SSR, or one or more of the sponsors may also have a spouse whose income is deemable.
L	Sponsor is liable for support. However, recipient's liability onset date is later than his/her date of U.S. entry. Therefore, exemption applies. There is no sponsor-to-alien deeming.
M	Sponsor liable for support. Sponsor does not have other status on the alien's SSR, so deeming occurs only from the sponsor. A new affidavit of support is in effect.
N	Sponsor legally liable for support. Sponsor also has status as regular deemer on the alien's SSR, however, sponsor-to-alien deeming rules apply since a new affidavit of support is in effect.
O	Sponsor liable for support. SSR contains a regular deemer plus the sponsor (sponsor is not the regular deemer) and deeming occurs from both the sponsor and the regular deemer (spouse/parent). New affidavit of support is in effect.
P	Same as M, with the addition that the sponsor has a spouse.
Q	Same as N, with the addition that the sponsor has a spouse, and the sponsors are specifically

SDX Data Element Definitions

	identified as parents of the alien, and both parents (sponsor and sponsor's spouse) are liable for deeming.
R	Same as O, with the addition that the sponsor has a spouse. Therefore, deeming is considered from three potential sources: the sponsor, the sponsor's spouse, and the regular deemer on the SSR (alien's own ineligible spouse or parents).
S	Multiple legal sponsor exist. One or more may have other status as a regular deemer on the SSR, or one or more of the sponsors may have a spouse whose income is also deemable. A new affidavit of support is in effect.
T	Sponsor no longer liable; e.g., sponsor is deceased.
U	Unknown, systems-generated prior to the advent of the sponsor-to-alien deeming. Cannot be input by field office.
V	New affidavit is in effect and deeming terminated because alien has acquired 40 work credits.

ALIEN SPONSOR CODES

NOTE: Alien sponsor codes A, C-H, J and L apply to aliens for whom the sponsor(s) signed the old affidavit of support. Regular deeming rules (spouse-to-spouse or parent-to-child) supersede sponsor-to-alien deeming if the sponsor is also a regular deemer on the alien's record.

Alien Sponsor codes M-S and V apply to aliens for whom the sponsor(s) signed the new affidavit of support. Sponsor-to-alien deeming rules apply regardless of the sponsor's status as a regular deemer on the alien's record.

Alien Sponsor codes B, T, and U can apply to both groups of aliens listed above.

Appeals
Code

[966] This is a one position alphabetic display of the SSR DSMA PL-CDE (APPEALS CODE).

This is the level of appeal and latest action.

Code	Value
R	Reconsideration
H	Hearing
A	Appeals Council Review
C	Court Activity
O	Class Action

Appeal
Date
[967-974] This is a (MMDDCCYY) eight position numeric display of the DSMA SSR PL-FILE-JD (APPEALS FILING DATE).

This is the month, day, century and year of the most recent appeal action.

Appeals Decision
Code

{1856-1857} This is the decision rendered on the appeal.

AD-Dismissed/Abandoned
 FA-Favorable/SSA Appealed (court case only)
 FC-Fully/Partially Favorable (Converted records only)
 FF-Fully Favorable
 FN-Favorable/SSA did not appeal (court case only)
 OT-Closed: Other
 PF-Partially Favorable
 T1-Dismissed: Claimant Deceased
 UA-Unfavorable/appealed by recipient (court case Only)
 UF-Unfavorable
 UN-Unfavorable/not appealed by recipient (court case only)
 WC-Dismissed/Withdrawn (converted records only)
 WD-Dismissed: Withdrawn
 1D-Dismissed: Cannot be appealed
 2D-Dismissed: filed by improper requestor
 3D-Dismissed: filed prematurely
 4D-Dismissed: filed late without good cause

Appeals Decision Code Date {1858-1865} This is an eight position numeric display of the DSMA-PL-DCSN-JD field of the SSR. MM/DD/CC/YY
This is the date the actual appeal decision was rendered.

Appeals Flag [965] This is a one position alphabetic display which is the result of several tests performed by SDX program against the SSR data elements on the SSR, primarily SSR DSMA begin point is the GKCDE (Goldberg/Kelly (G/K) Payment Code).

This indicates the SSR is in an appeals process.

Code	Value
"	No actual or potential Goldberg/Kelly involvement or Notice of Planned Action has been sent but no appeal has been filed or the potential appeals period has expired.
I	In payment maintenance, but independent intervening event is applicable, Goldberg/Kelly applies.
S	In payment maintenance, but eligibility exceeds PPL, Goldberg/Kelly applies.
P	Notice of Planned Action has been sent and appeal has been filed.
T	Notice of Planned Action has been sent but could not be sent timely; thereafter the higher payment has been maintained.

NOTE: This is not always present when the appeal is pending, therefore, it should not be totally relied upon by the States.

Appeal Reason [1866-1867] This is a two position display of the SSR DSMA PL-RSN.

SDX Data Element Definitions

This is the type of agency decision, in general, to which this particular occurrence of appeal data relates.

CODE	VALUE
AG	Age
CZ	Citizenship
DI	Initial disability
DR	Age 18 Disability Determination
IN	Income
LA	Living Arrangement
MA	Marital Relationship
MC	Medical Disability
OP	Overpayment
PA	PASS
RE	Resources
SG	SGA
WA	Denied Waiver
OT	Other

Application Date [534-541]	This is an (MMDDCCYY) eight position numeric display of the SSR DSMA APPL-JD (APPLICATION DATE). This is converted from Julian format to calendar format.
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This reflects the most recent SSI effective filing date. It is the date the claimant filed an application for SSI benefits or the date the individual is deemed to have filed the application. Conversion cases may show a date prior to January 01, 1974. A second or subsequent effective application(s) would result in the creation of a new SSR(s) with a new corresponding application date(s).

Application Filing Date [542-549]	This is an (MMDDCCYY) eight position numeric display of the SSR DSMA DCF (DATE CLAIM FILED). This is converted from Julian format to calendar format.
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This is the actual filing date of the application if it differs from the application date. The application filing date usually would be later than the application date if the individual filed an application after first making written or oral inquiry to the SSA office which indicated the intent to file an SSI application.

Bank
Account
Number

[1837-1853] This is a 17 position alpha/numeric display.

The SSI payment is being deposited directly into the recipient's bank account. This is the number or code the bank uses for this account for purposes of depositing monies.

Bank Transit/
Routing
Numbers

[1824-1836] This is a 13 position alpha/numeric display.

Identification number and check digit code with 4 lead zeros assigned by the American Banking Association.

Blind Work Expenses
Exclusion

[1054-1060] This is a (\$\$\$\$\$\$CC) seven position numeric display of the result of the following criteria:

If SSR DSMA IETYP (EARNED INCOME TYPE) is equal to "C" (blind work expense), then this is set equal to the SSR DSMA IEAMT (EARNED INCOME AMOUNT).

This is the amount of work expenses of a blind recipient for the month in the Earned Income Period which may be excluded from the earned income amount.

Budget Month
Flag

[1723] This is a one position numeric display of the DSMA BMF (BUDGET MONTH FLAG).

This is the budget month used for computation purposes.

Code	Value
0	Payment is based on factors in the computation month
1	Payment is based on factors one

CAN of Ineligible Spouse or Parent [733-744, 848-859]	2	month before the computation month Payment is based on factors two months before the computation month
--	---	--

This is a twelve position alpha/numeric display of the SSR DSMA IUEIDNO (CLAIM OR IDENTIFICATION NUMBER FOR UNEARNED INCOME).

This data element, the claim or identification number of the ineligible spouse or parent, may appear multiple times on the SDX record. This is the title II record on which the ineligible spouse or parent is entitled or insured.

For Social Security (type A), the format is a nine digit SSN of the insured individual, a two position left justified Beneficiary Identification Code (BIC) and a space in position twelve of the data element.

For Black Lung (type B), the format is a nine digit Blank Lung Claim Number, two alpha characters, and a space in position twelve of the data element.

For VA Compensation and Pension not based on need (type C), the format is a nine digit VA number, two alpha characters, and a space in position twelve of the data element.

For RRB (type D), the format is a nine digit RRB number, two alpha characters (the RRB beneficiary identification), and a space in position twelve of the data element.

For VA Compensation and Pension based on need (type E), the format is a nine digit VA number, two alpha characters, and a space in position twelve of the data element.

For military retired pay (type L), the format is a nine digit military identification number, a one position alpha character, a one

digit alpha/numeric character, and a space in position twelve of the data element.

For Federal Civil Service pension (type M), the format is a nine digit civil service number, a one position alpha character, a one digit character or space in position element, and a space in position twelve of the data element.

For Income-in-Kind (type H), this data element may contain an identifying legend entered by the DO; e.g., RENTFREE, FREERENT, etc.

Claim
Identification
Number
[1084-1095
1118-1129
1152-1163
1186-1197
1220-1231
1254-1265
1288-1299
1322-1333
1356-1367]

This is a twelve position alpha/numeric display of the SSR DSMA IUEIDNO (CLAIM OR IDENTIFICATION MBER FOR UNEARNED INCOME).

This may appear multiple times on the SDX record. This is the Claim Number or Claim Identification under which each type of unearned income is being received.

For Social Security (type A), the format is a nine digit SSN of the insured individual, a two position left justified Beneficiary Identification Code (BIC) and a space in position twelve of the data element.

For Black Lung (type B), the format is a nine digit Black Lung Claim Number, two alpha characters and a space in position twelve of the data element.

For VA Compensation and Pension not based on need (type C), the format is a nine digit VA number, two alpha characters and a space in position twelve of the data element.

For RRB (type D), the format is a nine digit RRB number, two alpha characters (the RRB beneficiary identification), and a space in position twelve of the data element.

For VA Compensation and Pension based on need (type E), the format is a nine digit VA number, two alpha characters, and a space in position twelve of the data element.

For Income-in-Kind (type H), this data element may contain an identifying legend entered by the DO; e.g.; RENTFREE, FREERENT, etc.

For military retired pay (type L), the format is a nine digit military identification number, a one position alpha character, a one digit alphanumeric character, and a space in position twelve of the data element.

For Federal Civil Service pension (type M), the format is a nine digit civil service number, a one position alpha character, a one digit character or space in position eleven, and a space in position twelve of the data element.

Claim
Number
[52-63]

This is a twelve position alpha/numeric display of the SSR DSMA IUEIDNO (CLAIM OR IDENTIFICATION NUMBER FOR UNEARNED INCOME).

This is the title II record on which the recipient is potentially entitled or insured.

Competency
Code
[934]

This is a one position alpha/numeric display of the SR DSMA REP-GC (GUARDIAN/COMPETENCY CODE).

This identifies the representative payee's status as to legal guardianship and/or competency of the recipient.

Code	Value
------	-------

A	Recipient is competent and the
---	--------------------------------

	representative payee is the legal guardian
B	Recipient is competent and there is no legal guardian
C	Recipient is competent and the legal guardian is someone other than the representative payee.
D	Recipient is incompetent and the representative payee is the legal guardian.
E	Recipient is incompetent and there is no legal guardian.
F	Recipient is incompetent and the legal guardian is someone other than the representative payee
L	Representative payee is a financial institution with whom the recipient has entered into a living trust agreement
N	There is no legal guardian
O	Someone other than the representative payee is the legal guardian
Y	The representative payee is the legal guardian.

Concurrent
State
Payment
Code
[1531]

This is a one position alpha/numeric display of the SSR DSMA STOPACM (STATE OPTIONAL PAYMENT CODE).

This distinguishes the optional State supplementation concurrent payment categories from the Federal payment categories reflected in the Recipient Type Code.

Although all States will receive one of the codes listed below, only the States of California, Hawaii, Iowa, Massachusetts, Nevada and Wisconsin currently provide different optional payment levels in different categories.

As of February 1979, alpha codes will be used instead of numbers to identify California recipients who are receiving an additional \$10.00 State supplementation payment in lieu of food stamps.

Code	Value
------	-------

0	No supplementation paid
1	Optional supplementation is paid in the aged category
2	Optional supplementation is paid in the blind category
4	Optional supplementation is paid in the disability category
8	Optional supplementation is paid to one member of the couple in the blind category (California) only
9	Mandatory supplementation is paid
A	Optional supplementation is paid in the aged category and \$10.00 additional payment is made in lieu of food stamps (California only)
B	Optional supplementation is paid in the blind category and \$10.00 additional payment is made in lieu of food stamps (California only)
D	Optional supplementation is paid in the disabled category and \$10.00 additional payment is made in lieu of food stamps (California only)

Conditional
Payment
[528]

This is a one position alphabetic display of the SSR DSMA CONDPAY (CONDITIONAL PAYMENT INDICATOR).

This indicates whether or not a payment is, or was subject to disposition of excess resources. When a payment is no longer conditional, the code "C" will remain in this data element.

Code	Value
C	Conditional
N	Nonconditional
" "(blank)	Not applicable

Converted
Railroad
Number
[1810-1821]

This is a twelve position alpha/numeric display determined by SDX program logic.

This is the RRB number converted from the SSA version.

Concurrent
Month's
Recovery
Amount
[1788-1794]

This is a (\$\$\$\$cc) seven position numeric display determined by the SDX program logic involving the SSR DSMA FEDPMT (FEDERAL MONEY AMOUNT).

This is the amount of advance payment or overpayment recovered from the payment month's check (the last treasury run).

Country of
Origin
[585-586]

This is a two position alpha display of the SSR DSMA ALIEN-CNTRY (ALIEN COUNTRY).

This is the Country of Origin for the recipient as listed in the Federal Information Processing Standards (FIPS) publication 10-12.

Current
Composition
Code
[1880]

This is a one position numeric display of the DSMA Cur Comp.

This is the monthly indicator of the current code composition rationale.

Code	Value
1	Presumptive eligibility established for an eligible individual or child (TMR = AI, DI, BI, DC or BC)
2	Presumptive eligibility established for a disabled child living with his/her mother (TMR = DM or BM)
3	Presumptive eligibility established for a disabled child living with both parents (TMR = DB or BB)
4	Presumptive eligibility established for an individual with an eligible spouse (TMR = AX, DX or BX) or a disabled child living with his/her father (TR = DF or

BF)

- 6 Posted to both halves of an eligible couple record to indicate it was formerly computed as if it were a record for an eligible individual with an eligible spouse.
- 7 Posted to the portion of an eligible couple record that is being computed as an eligible individual with an ineligible spouse. (The portion of the record being treated as an ineligible spouse will contain a blank entry.)
- 8 Posted to the survivor portion of a former eligible couple's record beginning with the effective month of an automated case composition.

Current
Records
Indicator
[31]

This is a one position numeric display of data in the header of the record.

This is determined by SDX program logic.

This shows which record is the most current record on file. This is useful when multiple transactions have caused the generation more than one SDX record on one file for the SSN.

Code	Value
1	Only one record was processed for an individual on a particular day
2-9	Multiple records were processed for an individual on a particular day. The highest number present in a sequence of records having the same

record processed dates denotes the most current record.

Custody
Code
[931-933]

This is a three position alphabetic display of the DSMA REPCUS (CUSTODY CODE).

This indicates who has physical custody of the recipient.

Code	Value
AGY	social agency
CHD	Child (as payee for the parent)Essential person
ESP	Essential person
FDM	Federal mental institution/organization
FDO	Federal nonmental institution/organization
FIN	financial institution
FTH	natural or adoptive father
GPR	grandparent
INP	The recipient is legally incompetent, but no representative payee has been selected (Conversion cases only).
MTH	natural or adoptive mother
NPM	nonprofit mental institution
NPO	nonprofit nonmental institution
OFF	public official
OTH	other individual, institution or agency
PRO	private, for profit mental institution
PYE	private, for profit representative payee
REL	another relative (this includes all in-laws)
RPD	The representative payee is being developed
SEL	self
SFT	stepfather
SLM	State/local mental institution
SLO	State/local nonmental institution/organization
SMT	stepmother
SPO	spouse

Date of
Birth with
Century

[102-109]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA BIRTH-JD (DATE OF BIRTH).

This is the date of birth of the recipient, in month, day, century and year format.

Date
Residency
Began

[1712-1719]

This is a (MMDDCCYY) eight position numeric display of the SSR DSMA CMTH-RD (MONTH OF COMPUTATION). In PE cases, the date of residency will be the month after the month of arrival at the new residence.

This is the date the recipient began residing in the new geographical subdivision; i.e., State and County of Jurisdiction. The first day of the month will always be shown because the actual day of the month is not entered into the system.

NOTE: This date is updated by interstate and intrastate moves.

Death Date

[561-568]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA DTH-RD (DATE OF DEATH).

This is the date of death of the recipient. The actual date of death will be shown when it is available. However, if the date of death is posted from a returned check, the day will be shown as "01" or the date the returned check was processed. Changes to the death date are the only change transmitted on terminated records.

Death Date
Source Code
[569]

This is a one position numeric display of the SSR DSMA DOD-CDE (DEATH NOTIFICATION CODE).

This shows the source of the death notice. This code may change if the date of death is updated by a subsequent transaction.

Code	Value
1	The SSA DO notification or manual adjustment
2	Hospital Insurance notification
3	MBR (Master Beneficiary Record) notification
4	Treasury returned check notification
5	Treasury returned check with no death date shown (Death date will show the date of the transaction.)
6	State notification
0	Initialized value

Deemed
Income
Amount
[1760-1766]

This is a (\$\$\$\$cc) seven position numeric display of the DSMA DEEMAMT (DEEMED INCOME AMOUNT FOR THE QUARTER).

This is the current month's amount of income deemed to the eligible individual used in determining eligibility and, if the Budget Month Flag is equal to zero, computing the SSI payment.

Deemed
Income
Amount
(Retrospective)
[1739-1745]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA DEEAMT (DEEMED INCOME AMOUNT FOR THE QUARTER).

This is the monthly amount of income deemed to the eligible individual and used in computing the payment if the Budget Month Flag is equal to other than zero or blank. This will always equal zeros if the Budget Month Flag is equal to zero or blank.

Denial Code
[550-552]

This is a three position alpha/numeric display of the SSR DSMA DENCDE (DENIAL CODE). This shown the reason a claimant was initially denied for SSI/State Supplement Payments.

Code	Value
""(blank)	Applicant was not initially denied.
N01	Non-pay - Recipient's countable income exceeds Title XVI payment amount and his/her State's payment standard.
N02	Non-pay - Recipient is inmate of public institution.
N03	Non-pay - Recipient is outside of the U.S.
N04	Non-pay - Recipient's non-excludable resources exceed Title XVI limitations.
N05	Non-pay - Unable to determine if eligibility exists for some month(s) of a period of nonpayment.
N06	Non-pay - Recipient failed to file for other benefits.
N07	Non-pay - Cessation of the recipient's disability.
N08	Non-pay - Cessation of the recipient's blindness.
N09	Non-pay - Recipient refused vocational rehabilitation without good cause.

SDX Data Element Definitions

N10	Non-pay - Recipient refused treatment for drug addition.
N11	Non-pay - recipient refused treatment for alcoholism.
N12	Non-pay - Recipient voluntarily withdrew from SSI program.
N13	Non-pay - Not a citizen or an eligible alien.
N14	Non-pay - aged claim denied for age.
N15	Non-pay - Blind claim denied. Applicant not blind. (No longer applicable)
N16	Non-pay - Disability claim denied. Applicant not blind. (No longer applicable)
N17	Non-pay - Failure to pursue claim by the applicant.
N19	Non-pay - Recipient has voluntarily terminated participation in the SSI program.
N20	Non-pay - Recipient failed to furnish required evidence.
N22	Non-pay - Inmate of a penal institution
N23	Non-pay - Not a U.S. resident
N24	Non-pay - Convicted of felony of fraudulently misrepresenting residence in two or more States
N25	Non-pay Claimant is fleeing to avoid prosecution for, or custody or confinement after conviction for a crime which is a felony (or in New Jersey, a high misdemeanor) under the laws of the place from which he/she flees, or is violating a condition of probation or parole

SDX Data Element Definitions

	imposed under Federal or State law.
N27	Non-pay - disability terminated due to SGA.
N30	Non-pay - Slight impairment - medical consideration alone, no visual impairment.
N31	Non-pay - Capacity for SGA - customary past work, no visual impairment.
N32	Non-pay - Capacity for SGA - other work, no visual impairment.
N33	Non-pay - Engaging is SGA despite impairment, no visual impairment.
N34	Non-pay - Impairment is no longer severe at time of adjudication and did not last twelve months, no visual impairment.
N35	Non-pay - Impairment is severe at time of adjudication but not expected to last twelve months, no visual impairment.
N36	Non-pay - Insufficient, or no, medical data furnished, no visual impairment.
N37	Non-pay - Failure or refusal to submit to consultative examination, no visual impairment.
N38	Non-pay - Applicant does not want to continue development of the claim, no visual impairment.
N39	Non-pay - Applicant willfully fails to follow prescribed treatment, no visual impairment.
N40	Non-pay - Impairment(s) does not meet or equal listing (disabled child under age eighteen only), no visual impairment.

SDX Data Element Definitions

N41	Non-pay - Slight impairment - medical condition alone, visual impairment.
N42	Non-pay - Capacity for SGA - customary work, visual impairment.
N43	Non-pay - Capacity for SGA - other work, visual impairment.
N44	Non-pay - Engaging in SGA despite impairment, visual impairment.
N45	Non-pay - Impairment no longer severe at time of adjudication and did not last twelve months, visual impairment.
N46	Non-pay - Impairment is severe at the time of adjudication but not expected to last twelve months, visual impairment.
N47	Non-pay - Insufficient, or no, medical evidence furnished, visual impairment.
N48	Non-pay - Failure, or refusal, to submit to consultative examination, visual impairment.
N49	Non-pay - Applicant does not want to continue development of the claim, visual impairment.
N50	Non-pay - Applicant willfully fails to follow prescribed treatment, visual impairment.
N51	Non-pay - Impairment(s) does not meet or equal listing (disabled child under age eighteen only), visual impairment.
N52	Non-pay - Deleted from the State rolls before January 1973 payment.
N53	Non-pay - Deleted from the State

rolls after January 1973 payment.

N54 Non-pay - DO unable to locate applicant.

N55 Impairment due to DAA (no visual impairment)

N56 Impairment due to DAA (visual impairment)

Denial Date
[(553-560)]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA DENDTE (DATE OF DENIAL).

This shows the date the claimant was denied SSI benefits and/or State supplementation. This date may precede the Record Processing Date, the Last Transaction date, and the Record Establishment Date because SSA's system applies it as soon as it is transmitted.

Direct
Deposit
Savings/Checking
Account
Indicator
[964]

This is a one position alphabetic display of the SSR DSMA DDDPC (DIRECT DEPOSIT PAYMENT CODE).

This identifies the type of direct deposit account.

Code	Value
C	Checking
S	Savings account

Disability
Payment
Code
[938]

This is a one position alphabetic display of the SSR DSMA DISPAYCDE (DISABILITY PAYMENT CODE).

This indicates the status of SSI disability and blind cases.

SDX Data Element Definitions

Code	Value
F	Final determination - allowance
P	Presumptive finding
R	Referred to State agency Code indicates pending determination or final denial determination
S	State determination (conversion cases only) - allowance
T	Presumptive finding - state conversion record
X	No disability determination made (claim denied on basis on non-disability issues)
"	(blank) Not applicable

NOTE: "F" or "S" only exist for disability allowance cases. The data element is left as "R", "P", or "T" for initial disability denials.

DO Code
(Servicing)-
[521-523]

This is a three position alpha/numeric display of the SSR DSMA DO (DISTRICT OFFICE CODE).

This identifies the SSA field office that serves the individual's mailing address.

Blank
[947]

Earned
Income
Exclusion
(Plan For
Achieving
Self-Support
{1040-1046]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA IEAMT (EARNED INCOME AMOUNT) IF THE SSR DSMA IETYP (EARNED INCOME TYPE) is equal to "D" (income excluded

under approval plan).

This is the monthly amount of earned income for blind or disabled recipients which may be excluded under an approved plan for achieving self-support. (Although PASS is coded under earned income, the amount can be excluded from unearned income also.)

Earned
Income - Net
Countable
Amount
[1746-1752]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA EINCM (CHARGEABLE EARNED INCOME AMOUNT).

This is the current month's amount of earned income, after all exclusions are applied, used in determining eligibility and, if the Budget Month Flag is equal to zero, computing the payment.

Earned
Income Net
Self-Employment
Estimate
[1047-1053]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA IEAMT (EARNED INCOME AMOUNT) if the SSR DSMA IETYP (EARNED INCOME TYPE) is equal to "S" (self-employment).

This is the estimated net amount of self-employment income for the period shown in the Earned Income Period.

Earned
Income
Period
[1031-1032]

This is a (YM) two position alpha/numeric display of the current computation month, relevant to the SSR DSMA EINCM (CHARGEABLE EARNED INCOME AMOUNT).

This indicates the period to which the earnings are to be charged.

Earned income is shown on a monthly basis.

Code	Value
0-9	Codes for the years

Codes for the months are as follows:

Code	Value
A	January
B	February
C	March
D	April
E	May
F	June
G	July
H	August
I	September
J	October
K	November
L	December

Earned
Income
Retrospective
Net
Countable
Amount
[1732-1738]

This is a (\$\$\$\$\$cc) seven position numeric display of the SSR DSMA EINCM (CHARGEABLE EARNED INCOME AMOUNT).

This is the amount of earned income used in computing the payment if the Budget Month Flag is not equal to zero or blank. This will always equal zeros if the budget month flag is equal to zero or blank.

Earned
Income Wage
Estimate
[1033-1039])

This is a (\$\$\$\$\$cc) seven position numeric display of the SSR DSMA IEAMT (CHARGEABLE EARNED INCOME AMOUNT).

This is the gross amount of wages which the recipient expects to earn in the month reflected in the Earned Income Period.

Earned
Income Wage

Estimate
Ineligible
Spouse Or
Parent
[767-773]
[882-888]

This is a (\$\$\$\$cc) seven position numeric display of SSR DSMA IEAMT (CHARGEABLE EARNED INCOME AMOUNT). This data item may appear multiple times on the SDX record.

This is the gross amount of wages which the ineligible souse or parent expects to earn in the month reflected in the Earned Income Period.

Essential
Person
Indicator
[630]

This is a one position alpha/numeric display of the SSR DSMA ESPER (ESSENTIAL PERSON INDICATOR).

This shows whether an essential person exists in the case and the relationship of the essential person to the eligible individual.

Code	Value
0	None
1	Essential person is an ineligible spouse
2	Essential person is a living-with father
3	Essential person is a living-with mother
4	A non-relative is in the SSN of Eligible Spouse or Parent
5	A non-relative is in the SSN of Other Parent
A	An ineligible spouse and at least one other person are both essential persons

SDX Data Element Definitions

- | | |
|---|--|
| B | A living-with father and at least one other person are both essential persons |
| C | A living-with mother and at least one other person are both essential persons |
| D | There are at least two essential persons, one of whom is in SSN of Eligible Spouse or Parent |
| E | There are at least two essential persons, one of whom is in SSN of Other Parent |
| F | A living-with parent is the essential person (applicable in pipeline cases only) |

Federal
Countable
Income
(12-73)
Source Code
[949]

This is a one position numeric display of the SSR DSMA 1273FCISRC (12/72 FEDERAL COUNTABLE INCOME FOR PAYMENT COMPUTATION (FCI) SOURCE CODE).

This indicates the source or method by which the December 1973 Federal Countable income is established.

Code	Value
1	The data element is still in initialized state (no minimum income level can be established).
2	The data element cannot be system established (requires SSA DO follow-up).
3	The couple is split and the system cannot establish a 12/73 Federal Countable Income.
4	The record is in an exception or "awaiting spouse" status and the system cannot establish 12/73 Federal Income.

SDX Data Element Definitions

- 5 The breakdown of minimum income level entered as part of a T30 replacement record.
- 6 The Federal Countable Income established as a result of Title II first quarter 1974 adjustment process.
- 7 The standard system generated first quarter 1974 basis has been used.
- 8 The SSA DO input has been used.
- ""(blank) Not applicable (initialized value)

Federal
Countable
Income
(12-73)
[950-956]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA 1273FCISRC (12/73 FEDERAL COUNTABLE INCOME).

This is the Federal Countable Income used by the SSI system to create the Federal countable minimum income level. The data may reflect the Federal Countable Income the individual received in January 1974 which was attributed to December 1973, or it may reflect information supplied by the State to the SSA DO which is attributable to December 1973.

Federal
Eligibility
Code
[1720]

This is a one position alphabetic display of the SSR DSMA FED (FEDERAL ELIBILITY CODE).

This identifies eligibility for SSI payment in the current month.

Code	Value
E	Eligible
N	Not eligible
""(blank)	Not applicable

Federal

Living
Arrangement

Code

[1542

1559

1593

1576

1610

1627

1644

1661

1678

1695]

This is a one position alphabetic display of the SSR DSMA LIVF (LIVING ARRANGEMENT CODE). This data item may appear multiple times on the SDX record.

This indicates the type of Federal living arrangement (for the current month) of the recipient for Title XVI purposes.

Code	Value
------	-------

A	Own household
---	---------------

B	Another's household
---	---------------------

C	Parent's household (for child cases only)
---	---

D	Title XIX institution
---	-----------------------

"(blank)	Individual is in a non-Title XIX institution, living arrangement change in progress or outside the U.S.
----------	---

*	Initial claims surface edit
---	-----------------------------

Federal

Living

Arrangement

Code (Dec 1973)

[957]

This is a one position alphabetic display of the SSR DSMA 1273LIVCD (12/73 FEDERAL LIVING ARRANGEMENT CODE).

This indicates the domicile of the converted individual recipient as of December 1973.

Code	Value
------	-------

A	Own household
---	---------------

SDX Data Element Definitions

B	Another's household
C	Parent's household (for child cases only)
D	Title XIX institution
E	No Federal Living Arrangement applicable in December 1973, or, if applicable, mandatory supplementation is not payable.
" "(blank)	Individual is in a non-Title XIX institution, living arrangement change in progress, or outside the U.S.

Federal
Living
Arrangement
Code -
Budget
Month
[1724]

This is a one position alphabetic display of the SSR DSMA LIVF (LIVING ARRANGEMENT CODE).

This indicates the Federal Living arrangement in the budget month.

Code	Value
A	Own household
B	Another's household
C	Parent's household (for child cases only)
D	Title XIX institution
" "(blank)	Individual in an non-title XIX institution, or outside the U.S.
*	Initial claims surface edit

First Line of/
Recipient's
Name
[335]

This is a one position alpha-numeric display of the SSR DSMA FLON (FIRST LINE OF RECIPIENT'S NAME).

This indicates the line (1-4) of the Payee Name and Mailing Address on which the recipient's name begins. Where there is a representative payee and the beginning of the

recipient's name cannot be identified, a code "X" or "I" will be displayed.

Code	Value
1-4	Line on which the recipient's name begins
X	Representative payee data obtained from MBR (conversion case only)
T	Representative payee data obtained from the State (conversion case only)

Food Stamp
Application
[607]

This is a one position alpha display of the.
SSR DSMA FS-RECIPEINT (FOOD STAMP RECIPIENT).

This indicates whether or not SSA personnel took
an application for food stamps.

Code	Value
A	SSA took the Food Stamps application. The claimant lives in a Combined Application Project (CAP) State. The claimant's shelter cost is at or above the State standard. If the claimant lives in New York, the claimant does not live in subsidized housing where heat is included in the rent.
B	SSA took the food stamps application. The claimant lives in a Combined Application Project (CAP) State. The claimant's shelter cost is not at or above the State standard. If the claimant lives in New York, the claimant does not live in subsidized housing where heat is included in the rent.
C	SSA took the Food Stamps application. The Combined Application Project (CAP) State where the claimant lives is New York. The claimant's shelter cost is at or above the State standard.

SDX Data Element Definitions

The claimant lives in subsidized housing where heat is included in the rent.

D	SSA took the Food Stamps application. The Combined Application Project (CAP) State where the claimant lives is New York. The claimant's shelter cost is not at or above the State standard. The claimant lives in subsidized housing where heat is included in the rent.
N	SSA did not take a Food Stamps application.
Y	SSA took Food Stamps application.
Z	The system could not recognize the character transmitted.
" "(blank)	No input

Food Stamp
Input Date

[600-605]

This is a (MMCCYY) six position numeric display of the SSR DSMA FS-INTERVIEW-RD (FOOD STAMP INTERVIEW DATE). This is converted from relative date to MMCCYY format.

This reflects the month, century and the year of the initial Food Stamp data input.

Food Stamp
Recipient
Status
[606]

This is a one position alphabetic display of the SSR DSMA FS-RECIPIENT (FOOD STAMP RECIPIENT).

This indicates whether or not the recipient receives Food Stamps or has filed an application for Food Stamps in the past sixty days on which no decision has been made.

Code	Value
Y	Yes, either is receiving or applied.

N	No, is not receiving and has not applied
Z	Invalid Character(s) transmitted
" "	(blank) No input

Foreign Language
Code
{1854-1855}

This code identifies the foreign language, preferred over English, with which the person is most comfortable

Code	Value
01	English
02	Spanish
03	American Sign Language
04	Arabic
05	Armenian
06	Cantonese
07	Farsi
08	French
09	German
10	Greek
11	Haitian-Creole
12	Hindi
13	Hmong
14	Italian
15	Japanese
16	Khmer
17	Korean
18	Laotian
19	Mandarin
20	Polish
21	Portuguese
22	Russian
23	Samoan
24	Tagalog
25	Vietnamese
26	Yiddish
27	Other

Head of
Household
Status

This is a one position alphabetic display

Indicator of the SSR DSMA HOHM (HEAD OF HOUSEHOLD
[1029] INDICATOR).

This shows whether or not the recipient is the head of household for Title XVI purposes at the time the SSR was established. In addition, it is also used to indicate that one member of a couple was determined eligible for SSI/State Supplement Payment while a disability determination was pending for the other member of the couple.

Code	Value
Y	Head of Household
N	Not head of Household
R	Member of a couple for which disability determination is, or was, pending.
S	Member of a couple that is (or was) paid as an individual while disability was being determined for the other member of the couple
U	Identifies the months included in the computation of and offset of underpayment to one member of an eligible couple against an overpayment of the other member of the couple.

Individual's
Name

{66-95}

This is a thirty position alpha/special display of the SSR DSMA APPLSNAME (INDIVIDUAL'S SURNAME), APLFNAM (INDIVIDUAL'S FIRST NAME), AND THE APLMNAM (INDIVIDUAL'S MIDDLE INITIAL).

This is the full name of the person for whom the SDX record applies. This is formatted in last name (19), first name (10), middle initial (1) sequence.

Ineligible
Spouse/
Parent
Indicator
[693
808]

This is a one position alphabetic display

determined by SDX program logic.

This data item may appear multiple times on the SDX record.

This is used to determine whose data is represented on the record.

Code	Value
E	Eligible spouse or eligible individual in the spouse's record
I	Ineligible spouse
P	Parent

IAR Status
Code
[1774]

This is a one position numeric display determined by the SDX program logic.

This indicates the timing of SSA reimbursement of State interim assistance payment(s) or the reason for not effecting reimbursement. This assistance reimbursement state code may change (e.g., where reimbursement has been effected or attempted).

Code	Value
0	Essential person record, applicant did not authorize reimbursement, there is no Federal/State agreement to reimburse, or the SDX record is for the month following the month of the recipient's move from the State of reimbursement.
1	Total amount shown in the SSI Monthly Assistance Amount and the State Supplement Amount is being, or was, sent to the State or county as reimbursement.
2	Part of the amount shown in SSI Monthly Assistance Amount and the State Supplement Amount in the current record is being, or was, sent to the State or County as reimbursement.

- | | |
|---|---|
| 3 | Reimbursement is not being effected; applicant is ineligible or retroactive payment is not due (denial) |
| 4 | Reimbursement assistance case pending. |
| 5 | Reimbursement check returned. |

Institutionalize-
ation
Determination
Code
[1426]

This is a one position alphabetic display of the SSR DSMA INST-DET-CD (INSTITUTIONALIZATION DETERMINATION CODE).

This is the result of the monthly determination of 1611(E)(1)(G)

	Code	Value
A		Payment under 1611(E)(1)(G) and FLA=D case
B		Payment under 1611(E)(1)(G) and PS=NO2 case
C		1611(E)(1)(G) default benefits for FLA=D case
D		No 1611(E)(1)(G) default benefits for FLA=D case

Last
Transaction
Date
[34-41]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA TRAN-JD (EFFECTIVE DATE OF TRANSACTION).

This is the date the transaction identified as Last Transaction Type was applied to the SSR.

Last
Transaction
Type
[32-33]

This is a two position alpha/numeric display of the SSR DSMA TRCHG.

This reflects only one reported event, although more than one reportable event may have occurred simultaneously. The event reflected is based on an established priority. The Last Transaction Type and the Last Transaction Date are not always updated on spouse records and on actions occurring during various types of clean up runs.

Code	Value
A1	Eligible individual name change
AD	Address change or correction
BA	Dedicated Account Balance
BC	Direct deposit
CC	Folder involvement (8028 receipt by DO)
CF	Conserved funds
CG	Case characteristics
CH	Returned check
CM	Multicategories
CO	Overpayment decision
CP	Refund amount
CS	Substantial gainful activity (obsolete code)
D1	Death notice from DO
D3	Death notice from MBR

SDX Data Element Definitions

	interface
D4	Death notice from Treasury Notification Process
DA	Diary code and date
DD	Direct deposit change
DH	Death (obsolete code)
DM	Deemed Income or, if date is 08/37/74, a special diary selection
DN	Date of overpayment notice (obsolete, see code ND below)
DO	Date of disability onset
DT	Drug/alcohol
DY	Selected for diary action
DW	Debt wipe out
EL	Elapsed months (obsolete code)
EM	Earned Income
EN	Earned Income
EP	Advanced payment
EW	Extended period of eligibility (obsolete code)
FD	Special \$50.00 payment (obsolete code)
FI	December 1973 Federal countable income (obsolete code)
FL	December 1973 Federal living arrangement
FS	Food Stamp

SDX Data Element Definitions

FV	Foreign language notice
GA	Grant amount
GC	Goldberg-Kelly notice date
GF	Adverse action
GJ	Protected payment level
GM	Minimum benefit level
IC	Initial Claims accretion
IF	MBR or Master Earning File interface reply
IR	IRS interface select
JA	Legal guardian agency
JB	Legal guardian consular code
JC	Legal guardian foreign country
JD	Legal guardian foreign postal zone
JM	Legal guardian mailing address
JN	Legal guardian name
JP	Legal guardian telephone number
JW	Legal guardian foreign telephone number
JZ	Legal guardian Zip Code
KE	Authorized representative Out-of-Pocket expenses
KM	Authorized representative mailing address
KN	Authorized representative payee name

SDX Data Element Definitions

KP	Authorized representative telephone number
KQ	Authorized representative fee agreement involved claims
KR	Authorized representative fee approving office site
KS	Authorized representative fee status
KT	Authorized representative payee type
KX	Authorized representative telephone extension
KZ	Authorized representative ZIP code
LA	Federal Living arrangement
LT	Last transaction
M1/3	Cross-reference number
MA	Title II payment
MB	MBR change, other than death activity
MC	IRS data
MD	Medical data
MG	Medical recovery
MI	Title II Critical Payment System
MM	Misused money
MP	Manual payment
NC	Non-receipt of check (Treasury)

SDX Data Element Definitions

ND	Date of overpayment notice
NM	Accounting done
NP	Notices
NU	NUMIDENT reply
OL	Online transaction (MSSICS 4.4 process)
ON	Automated One-Time Payment
OS	Optional Supplementation Code (obsolete code)
PC	SF-1184 of deletion of a returned check
PL	Appeals request
PN	Payee's name
PR	Prior error input
PS	Payment status
RA	Residence address
RB	Rollback
RC	Returned check for other than death (Treasury)
RD	Resource disposal
RE	Resources
RF	1619(b) redetermination selection
RG	Redetermination diary update
RI	Limited issue redetermination selection
RK	Zebley redetermination needs development

SDX Data Element Definitions

RL	Additional development redetermination selected (obsolete code)
RM	Remarks (obsolete code)
RP	Representative payee
RQ	Nonselectable UC case (posted with merge run date)
RR	Remittance register refund
RS	Data Operation Center redetermination second request
RT	Selected for redetermination
RU	Redetermination listing selection (obsolete code)
RV	Redetermination pending indicator deleted
RW	Redetermination established on start date record
RX	Redetermination transfer (high response record)
RY	Redetermination transfer
RZ	Redetermination
R1-R5	Remarks
SB	Suspend billing
SC	State/County of conversion
SE	Summary earnings record enforcement
SI	Title XIX status
SO	Systems override
SZ	Special action code

SDX Data Element Definitions

TL	Telephone number
TP	Type of Claim
TR	Transmission router
TS	Manual payment (obsolete code)
TW	Trial work period (obsolete code)
UC	Un-negotiated check (credit)
UD	Un-negotiated check (debit)
UF	Limited payability
UG	Limited payability
UH	Limited payability
UL	Limited payability
UM	Unearned income
US	Manual payment (obsolete code)
VA	VA interface
VB	RRB interface
VC	Federal civil service interface
W	Welfare number
WA	Waiver
WI	Windfall offset data
XD	Representative payee system direct deposit
XI	Mass address or EIN rep-payee change
ZC	ZIP code (residence address)

SDX Data Element Definitions

ZH	Interview limitation (obsolete code)
ZP	ZIP code (mailing address)
Z4	IRS interface reply

Living
Arrangement
Code-
Optional
State
Supplement
[1543
1560
1577
1594
1611
1628
1645
1662
1679
1696]

This is a one position alphabetic display of the SSR DSMA OSCD (OPTIONAL STATE SUPPLEMENTATION CODE). This data item may appear multiple times on the SDX record.

This indicates the type of current living arrangement for the recipient in those States which have elected Federal administration of their optional State supplement. Code "Z" will appear in this data element where the recipient is not eligible for, or waives, Federally-administered optional supplementation.

Code	Value
Z	Pay zero amount of state supplementation

MN Diary
[1868-1869]

This is a two position alpha display of the SSR DSMA DIACDE

CODE	Value
MN	Transfer of resources at less than fair value

MN Diary Date This is an eight position (MMDDCCYY) display of

[1870-1877) the SSR DSMA DIARY-JD

This reflects the date the MN diary action should be taken.

Mandatory
Eligibility
Code
{1722]

This is a one position alphabetic display of the SSR DSMA MEC (MANDATORY ELIGIBILITY CODE).

This identifies eligibility for mandatory State supplementation payment in a current month.

Code	Value
E	Eligible for mandatory State supplementation
N	Not eligible for mandatory State supplementation
"	(blank) Not applicable

Marital
Status
[113]

This is a one position numeric display of the SSR DSMA SMS.

This indicates the marital status of the recipient of the time the record is established

1	Married and living with (ceremonial marriage, common law marriage, "holding out", or de facto marriage.
3	Single, widowed, or divorced
4	Married and separated
"	(blank) Not applicable

Medicaid -
Unpaid
Medical
Expense
Indicator
[593]

This is a one position alphabetic display

of the SSR DSMA RETRO-MED (RETROACTIVE MEDICAL EXPENSES INDICATOR).

In 1634 States which have elected to share the cost of asking the unpaid medical expense question, this reflects whether the claimant incurred any medical expenses during the three months before the application file date. (This is not updated after the initial posting).

Code	Value
Y	Unpaid medical bills do exist (1634 States only)
N	Unpaid medical bills do not exist (1634 States only)
" "	Not applicable (initialized value)

Medicaid
Effective
Date
[1704-1711]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA MEDEFF-JD (MEDICAID EFFECTIVE DATE). This is converted from Julian format to calendar format.

This reflects the most current period of eligibility or referral for Medicaid.

For interstate move from non-Federal Medicaid determination State to a Federal Medicaid determination state, this will contain data for the State in which residence is established.

For interstate move between two Federal Medicaid determination states, this date will not change unless eligibility factors cause a change in the Medicaid Eligibility Code.

Data element is zero filled if the record is going to a non-Federal Medicaid determination State or if record is for an essential person.

In cases where a mandatory minimum State supplementary payment is applicable, Medicaid eligibility will always be established as the

first day of the month, even if the proration process is used in computing SSI payments.

Medicaid
Eligibility
Code
[1538
1555
1572
1589
1606
1623
1640
1657
1674
1691]

This is a one position alphabetic display of the SSR DSMA MEDIC (MEDICAID ELIGIBILITY CODE). After selection from the MEDIC field, this value can be changed based upon logic in the SDX program. This data item may appear multiple times of the SDX record.

Code	Value
A	Refused to assign rights to third party medical payments or individual refused to provide third party liability information - Referred to State-Federal determination not possible.
B	Deeming waived: child under a State home care plan
C	Federally administered Medicaid coverage should be continued regardless of payment status code (e.g., 1619(b) participants)
D	Disabled adult child (1634 States)
E	Eligible per State determination (obsolete code)
F	Title VIII recipient
G	Goldberg/Kelly payment continuation
I	Ineligible per State determination (obsolete code)

N	Eligible for Medicaid (N24 Payment Status Only)
P	Drug Addiction and/or Alcoholism
Q	Medicaid Qualifying Trust may exist
R	Referred to State for determination (1634 States)-Federal determination not possible
S	State determination - not SSA responsibility
W	Widow(er) (1634 States)
Y	Eligible for Medicaid (1634 States only)

Medicaid
Test
Indicator
[1703]

This is a one position alphabetic display of the SSR DSMA MEDTEST (MEDICAL AND SOCIAL SERVICES INCOME TEST).

This shows whether the State should consider an individual in payment status "N01" or "E01", to be an SSI recipient for the purpose of determining Medicaid eligibility. Codes "A", "B", and "F" generate a Medicaid Eligibility Code equal to "C". Codes "C", "D", "E", "G", "H", "J", "K", "L", and "M" generate Medicaid Eligibility Code equal to "R".

Code	Value
A	Meets countable income test, no data entered for use and insufficiency of earnings tests
B	Meets countable income test; also meets use and insufficiency of earnings tests
C	Meets countable income test; does not meet use test
D	Meets countable income test; does

SDX Data Element Definitions

	not meet insufficiency of earnings test
E	Meets countable income test; does not meet use and insufficiency of earnings tests
F	Meets countable income test; use and insufficiency of earnings tests decision pending
G	Does not meet countable income test; no data entered for use and insufficiency of earnings tests
H	Does not meet countable income test; no data entered for use and insufficiency of earnings tests
J	Does not meet countable income test; does not meet use test
K	Does not meet countable income test; does not meet insufficiency of earnings test
L	Does not meet countable income test; does not meet use and insufficiency of earnings test
M	Does not meet countable income test; use and insufficiency of earnings tests decision pending
N	No prerequisite 1611 month available for 1619(b) eligibility (set by the system)
P	No prerequisite 1611 month available for 1619(b) eligibility (set by FO input)
" "(blank)	Tests for status for Title XIX not applicable

Medicare
Entitlement
Code
[1702]

This is a one position alphabetic display of the

SSR DSMA MCI (MEDICARE INDICATOR).

This reflects the Individual's current Medicare entitlement status, as follows:

Code	Value
A	The individual is covered for Hospital Insurance, but not for Supplementary Medical Insurance
B	The individual is covered for Supplementary Medical Insurance, but not for Hospital Insurance.
C	The individual is covered for both Hospital Insurance and Supplementary Medical Insurance.
N	The individual is not covered for either Hospital Insurance or Supplementary Medical Insurance.

Month Of
Change
[1532 - 1537
1549 - 1554
1566 - 1571
1583 - 1588
1600 - 1605
1617 - 1622
1634 - 1639
1651 - 1656
1668 - 1673
1685 - 1690]

This is a (MMCCYY) six position numeric display of the DSMA CMTH-RD (MONTH OF COMPUTATION). This is converted from relative date format to MMCCYY format. This data item may appear multiple times on the SDX record.

This represents the month in which one or more of the following items in the matrix changes; Medicaid Eligibility Code, Payment Status Code, Federal Living Arrangement Code, Living Arrangement Code - Optional Supplement, or State and County Code of Jurisdiction.

Multicategory
Indicator

[1529] This is a one position alpha/numeric display of the SSR DSMA CONCATM (STATE CONCURRENT ELIGIBILITY INDICATOR).

This shows other categories for which the recipient is eligible for optional supplementation.

Code	Value
1	Aged eligibility
2	Blind eligibility
3	Aged and blind eligibility
4	Disabled eligibility
5	Aged and disabled eligibility
6	Blind and disabled eligibility
7	Aged, blind, and disabled eligibility

NOTE: Numeric codes of "4", "5", and "6" indicate that any applicable work and earnings are not SGA; however, the presence of corresponding alpha codes of "D", "E", "F" or "G" indicate that work and earnings have been determined to be SGA with payment continuation under 1619(a). Corresponding alpha codes of "H", "I", "K", or "L" indicate SGA and no payment, or regular 1611 payment. However, code of "H", "J", "K" and "L" no longer apply for months after June 1987.

Other codes appearing in the multicategory indicator are as follows:

D	Disabled Special Section 1619(a) payment due
E	Aged and disabled Special Section 1619(a) payment due
F	Blind and disabled Special Section 1619(a) payment due

SDX Data Element Definitions

G	Aged, blind and disabled Special Section 1619(a) payment due
H	Disabled, and SGA involvement No Section 1619(a) payment due
J	Aged and disabled, and SGA involvement No Section 1619(a) payment due
K	Blind and disabled, and SGA involvement Special Section 1619(a) payment due
L	Aged, blind and disabled, and SGA involvement
M	Disabled - prerequisite month for 1619(a) eligibility (1611 payment due)
N	Aged and disabled - pre requisite month for 1619(a) eligibility (1611 payment due)
O	Blind and disabled - prerequisite month for 1611(a) eligibility (1611 payment due)
P	Aged, blind, and disabled - prerequisite month for 1619(a) eligibility (1611 payment due)
Q	Disabled - prerequisite 1611 payment 1611 not made
R	Aged and blind - prerequisite 1611 payment not made
S	Blind and disabled - prerequisite 1611 payment not made
T	Aged, blind, and disabled - prerequisite 1611 payment not made
W	Disabled - payment status "S05" applies, SGA decision required
X	Aged and disabled - payment status "S05" applies
Y	Blind and disabled - payment status

"S05" applies

Z Aged, blind, and disabled - payment
status "S05" applies

Name Of
Ineligible
Spouse Or
Parent
[694 - 723
809 - 838]

This is a thirty position alpha/numeric/special display of the SSR DSMA APLNAM (NAME OF INDIVIDUAL)(which is made up of SSR DSMA APPLSNAM (INDIVIDUAL'S SURNAME), APLFNAM (INDIVIDUAL'S FIRST NAME), AND APLMNAM (INDIVIDUAL'S MIDDLE INITIAL). This data element may appear multiple times on the SDX record.

This reflects the name of the ineligible spouse or parent.

This field contains name of individual (which is made up of individual's SURNAME) (position 01-19), (INDIVIDUAL'S FIRST NAME) (positions 20-29), and (INDIVIDUAL'S MIDDLE INITIAL) (position 30). This data item may appear multiple times on the SDX record.

Net
Self-Employment
Income
Estimate -
Ineligible
Spouse Or
Parent
{774 -780
889 - 895]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA IESAMT2 (NET SELF-EMPLOYMENT INCOME ESTIMATE), if SSR DSMA IETYP (EARNED INCOME TYPE) is equal to "S" (self-employment). This data item may appear multiple times on the SDX record.

This reflects the estimated net amount of self-employment income for the ineligible spouse or parent for the period shown in Earned Income Period

Onset

Date of
Disability/
Blindness
[939-946]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA DIS-ONST-JD (DATE OF ONSET OF DISABILITY OR BLINDNESS). This is converted from Julian format to calendar format.

This reflects the date of disability onset as alleged by the claimant (during the period in which the case is awaiting a medical determination, or if the case has been medically denied). After a final disability/blindness determination has been made, the date of onset in this data element will be either:

The date of disability onset established for Title II purposes in concurrent Title II/Title XVI allowance, or

The date of onset established for Title XVI only medical allowances. This date will be no earlier than the effective month of the SSI application unless information in the medical file supports an earlier onset.

Optional
State
Eligibility
Code
[1721]

This is a one position alphabetic display of the SSR DSMA SEC (STATE ELIGIBILITY CODE).

This identifies eligibility for State optional supplement payment in the current month.

Code	Value
E	Eligible
N	Not eligible
" "	(blank) Not applicable

Other Name
[96-101]

This is a six position alpha/special display of the SSR DSMA OTHERNAME (OTHER NAMES USED BY THE INDIVIDUAL).

This reflects another last name used by the recipient.

Overpayment
Balance
[1781-1787]

This is a (\$\$\$\$\$cc) seven position numeric display determined by the SDX program logic, based on values stored in various data elements on the SSR.

This reflects the remainder after subtracting the overpayment amount collected from the overpayment amount outstanding.

Overpayment/
Underpayment
Indicator
[1780]

This is a one position alphabetic display determined by the SDX program logic.

This shows whether or not overpayments and/or underpayments exist.

Code	Value
O	Overpayment exists
U	Underpayment exists
B	Both overpayment and underpayment exist

Overpayment
Waiver
Amount
[1803-1809]

This is a (\$\$\$\$\$cc) seven position numeric display the SSR DSMA RWAMT (AMOUNT RECOVERED OR WAIVED). This reflects the amount of the overpayment which has been waived from recovery efforts.

Overpayment
Waiver
Date
[795-1802]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA RWDATE.

This reflects the date the Overpayment Waiver Amount was actually waived.

Payee Address -
First Line Code

[114] This is a one position numeric display of the SSR DSMA PD-FLOA (FIRST LINE OF ADDRESS CODE).

Valid codes are 2, 3, 4, 5, and 6.
This reflects the line on which, within the payee name and address data, the address begins. If a zero is reflected, the address is questionable.

Payee Name
and Address
Number of
Lines

[115] This is a one position numeric display of the SSR DSMA PDNUM (NUMBER OF LINES FOR ADDRES).

This reflects the total number of lines needed to show the full payee name and mailing address, the number can not exceed six. (Each line of address may contain up to thirty-five characters).

Payee Name
And Mailing
Address

[116-325] This is a 210 position alpha/numeric/special display of the SSR PDNAMADR (PAYEE NAME AND MAILING ADDRESS), PD-CITY (PAYEE CITY), PD-STNAME (PAYEE STATE NAME).

This reflects the name or legend and the mailing address which will appear on the SSI check and other systems generated correspondence to the individual or his/her representative payee. The individual's 2-digit State code appears in position 24-25 of the last address line.

Payee ZIP
Code

[326-330] This is a five position alpha/numeric display of the SSR DSMA PDQIP (PAYEE'S ZIP CODE).

This reflects the five-digit ZIP code for the payee's address, which is required for postal service handling.

Payee Zip &
4 Code

[331-334]

This is a four position alpha/numeric display of the SSR DSMA PDZIP6-9 (ZIP CODE SUFFIX OF PAYEE).

This reflects (if present on the SSR master file) the ZIP Code plus 4 portion of the payee's address.

Payment

Date

[1455 - 1462

1477 - 1484

1499 - 1506]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA PAYDTE (DATE OF PAYMENT). This data item may appear multiple times on the SDX record.

This reflects the date of payment of the SSI Monthly Assistance amount and the State Supplement Amounts.

Payment

Status Code

[1539 - 1541

1556 - 1558

1573 - 1575

1590 - 1592

1607 - 1609

1624 - 1626

1641 - 1643

1658 - 1660

1675 - 1677

1692 - 1694]

This is a three position alpha/numeric/special display of the SSR DSMA PSTATE (PAYMENT STATUS CODE). This data item may appear multiple times on the SDX record.

This is made up of two elements; the first (the first position) of which reflects the status of the SSI/State Supplement payment, the second (the Second and third positions) of which reflects the reason for the status. The following descriptions, "C" through "T" apply to the first position of the code.

First
Position

SDX Data Element Definitions

Code	Value
C	Indicates the recipient is eligible for SSI and/or State Supplement payments and payment is due.
E	Indicates eligibility for Federal and/or State benefits based on the eligibility computation, but no payment is due based on the payment computation.
H	Indicates a case in "hold" status, final disposition is pending.
M	Indicates a case is under manual control. Case is known as "forced payment" although payment may not be involved.
N	Indicates the claimant/recipient is not eligible for SSI/State Supplement payments or that a previously eligible recipient is not currently eligible.
P	Indicates suspension with the probability of reinstatement. "P" is obsolete June 1987.
S	Administrative suspense. Indicates recipient may still be eligible for SSI and/or State Supplement payments, but payment is being withheld.
T	Indicates SSI and/or State Supplement eligibility is terminated. A record may be terminated and a new record established, in certain situations.

Full Code Value

CO1	Current Pay
E01	Eligible for Federal and/or State benefits based on the eligibility computation, but no payment is due

SDX Data Element Definitions

	based on the payment computation.
E02	Eligible for benefits but not payable in that month due to the new application date.
H10	Living Arrangement change is in progress.
H20	Marital Status change is in progress.
H30	Resource change is in progress.
H40	Student status change is in progress.
H50	Head of Household change is in progress.
H60	Hold pending receipt of date of death.
H70	Hold pending transmission of one-time payment data.
H80	Early input.
H90	Systems Limitation involved. DO must manually compute and input payment amount. (No longer applicable)
MO1	Force Payment - recipient may be in payment or non-payment status. see SSI Gross Payable Amount or State Supplement Gross Payable Amount for eligibility amount. these two will equal zeros if the recipient is in non-payment status.
MO2	Force due
NO1	Non-pay - Recipient's countable income exceeds Title XVI payment amount and his/her State's payment standard. Also used for 1619(b) participants.

SDX Data Element Definitions

N02	Non-pay - Inmate of public institution.
N02	Non-pay - Inmate of public institution.
N03	Non-pay - Outside of the U.S.
N04	Non-pay - Non-excludable resources exceed Title XVI limitations.
N05	Non-pay - Unable to determine if eligibility exists.
N06	Non-pay - Failed to file for other benefits.
N07	Non-pay - Recipient's disability ceased. Not disabled.
N08	Non-pay - Recipient's blindness ceased. Not blind.
N09	Non-pay - Refused vocational rehabilitation without good cause.
N10	Non-pay - Refused treatment for drug addition.
N11	Non-pay - Recipient refused treatment for alcoholism.
N12	Non-pay - Recipient voluntarily withdrew from program.
N13	Non-pay - Not a citizen or an eligible alien.
N14	Non-pay - Aged claim denied for age.
N15	Non-pay - Blind claim denied. Applicant not blind. (No longer applicable)
N16	Non-pay - Disability claim denied. Applicant not disabled. (No

SDX Data Element Definitions

	longer applicable)
N17	Non-pay - Failure to pursue claim by the claimant.
N18	Non-pay - Failure to cooperate.
N19	Non-pay - Recipient has voluntarily terminated participation in the SSI program.
N20	Non-pay - Recipient fails to furnish a required report or information.
N22	Non-pay - Inmate of a correctional institution.
N23	Non-pay - Not a legal resident in the U.S.
N24	Non-pay B Convicted of felony of fraudulently misrepresenting residence in two or more States. (Effective through 11/99).
	Non-pay - Administrative Sanctions penalty imposed because claimant has provided false or misleading statements to obtain benefits. (Effective 12/99 until present)
N25	Non-pay - Claimant is fleeing to avoid prosecution for, or custody or confinement after conviction for, a crime which is a felony (or in New Jersey, a high misdemeanor) under the laws of the place from which he/she flees, or is violating a condition of probation or parole imposed under Federal or State law.
N27	Non-pay - Disability terminated due to SGA.
N30	Non-pay - Slight impairment - medical consideration alone, no visual impairment.

SDX Data Element Definitions

N31	Non-pay - Capacity for SGA - customary past work, no visual impairment.
N32	Non-pay - Capacity for SGA - other work, no visual impairment.
N33	Non-pay - Engaging in SGA despite impairment, no visual impairment.
N34	Non-pay - Impairment is no longer severe at time of adjudication and did not last twelve months, no visual impairment.
N35	Non-pay - Impairment is severe at time of adjudication but not expected to last twelve months, no visual impairment.
N36	Non-pay - Insufficient, or no. medical data furnished, no visual impairment.
N37	Non-pay - Failure, or refusal, to submit to consultative examination, no visual impairment.
N38	Non-pay - Applicant does not want to continue development of the claim, no visual impairment.
N39	Non-pay - Applicant willfully fails to follow prescribed treatment, no visual impairment.
N40	Non-pay - Impairment(s) does not meet or equal listing (disabled child under age eighteen only), no visual impairment.
N41	Non-pay - Slight impairment - medical condition alone, visual impairment.
N42	Non-pay - Capacity for SGA - customary work, visual impairment.

SDX Data Element Definitions

N43	Non-pay - Capacity for SGA - other work, visual impairment.
N44	Non-pay - Engaging in SGA despite impairment, visual impairment.
N45	Non-pay - Impairment no longer severe at time of adjudication and did not last twelve months, visual impairment.
N46	Non-pay - Impairment is severe at the time of adjudication but not expected to last twelve months, visual impairment.
N47	Non-pay - Insufficient, or no, medical evidence furnished, visual impairment.
N48	Non-pay - Failure, or refusal, to submit to consultative examination, visual impairment.
N49	Non-pay - Applicant does not want to continue development of the claim, visual impairment.
N50	Non-pay - Applicant willfully fails to follow prescribed treatment, visual impairment.
N51	Non-pay - Impairment(s) does not meet or equal listing (disabled child under age eighteen only), visual impairment.
N52	Non-pay - Deleted from the State rolls before January 1973 payment.
N53	Non-pay - Deleted from the State rolls

SDX Data Element Definitions

N54	Non-pay - DO unable to locate applicant.
N55	Impairment due to DAA (no visual impairment)
N56	Impairment due to DAA (visual impairment)
P01	Suspended - Suspension of disability payments due to SGA, probability of reinstatement. This is an obsolete code as of July 1987.
S01	Suspended - Suspension of payments due to report of death by Treasury, potential automated death case.
S04	Suspended - System is awaiting disability determination (system generated).
S05	Suspended - SGA decision pending.
S06	Suspended - Recipient address unknown.
S07	Suspended - Returned check for other than death, address payee changes, or death of representative payee
S08	Suspended - Representative payee development pending.
S09	Suspended - Temporary institutionalization suspense (system generated)
S10	Suspended - Recipient has a bank account and refuses to receive benefits via direct deposit
S20	Suspended - Potential rollback cases or disability made prior to July 1973 (inactive).

SDX Data Element Definitions

S21	Suspended - The recipient in presumptively disabled or blind and has received six months payments.
T01	Terminated - Death of the recipient.
T20	Terminated - Received payment under two different account numbers.
T22	Terminated - Received payment under two different accounts, termination resulted from electronic screening.
T30	Terminated - Manual termination (payment previously made). Change in record composition requires termination of existing record.
T31	Terminated - System generated termination (payment previously made).
T32	Terminated - Systems generated termination of large records
T33	Terminated - manual termination (previous payment made) WILL EVENTUALLY REPLACE T30
T50	Terminated - Manual termination (no previous payment made).
T51	Terminated - System generated termination (no previous payment made).

Qualified
Medicare
Beneficiary
[1028]

This is a one position alphabetic display. **This is not currently in use, this position is reserved for future use.**

This will reflect only those individuals either

over sixty-five years of age, or over eighteen years of age and disabled, who qualify for this benefit.

Race Code
[111]

This is a one position alphabetic display of the SSR DSMA RACE (RACE CODE).

This indicates the race, if applicable, of the recipient.

Code	Value
A	Asian
B	Black
H	Hispanic
I	North American Indian
N	Negro
O	Other
U	Not determined
W	White

Reaccrution
Indicator
{30}

This is a one position alphabetic display determined by SDX program logic.

This reflects future action to be taken on a T30 record.

Code	Value
T	Record being terminated, will not be reaccreted.
X	Record being terminated, will be reaccreted.
"	(blank) Not applicable

Recipient
Type Code
{64-65}

This is a two position alphabetic display of the

SSR DSMA MFT (MASTER FILE TYPE).

This indicates the type of recipient, or other individual, involved in the record. If a recipient is initially disabled this code will not change at age sixty-five.

AI	Aged individual
AS	Aged spouse
BI	Blind individual
BC	Blind child
DC	Disabled child
DI	Disabled individual
DS	Disabled spouse
EP	Essential person (see SI 02601.527 for SDX information applicable to the essential person.)
XS	Ineligible Spouse

Record
Establishment
Date
[8-15]

This is an (MMDDCCYY) eight position numeric display of the SSR DSMA EST-PD (RECORD ESTABLISHMENT DATE). This is converted from Julian format to MMDDCCYY format.

This reflects the date of establishment of the SSI master record of the recipient. For a reaccrete T30 record, the date will be the date of the reestablishment.

Record
Identification
Code
[5]

This is a one position alphabetic display determined by SDX logic.

This identifies the type of the SSI master record.

Code	Value
C	Couple (eligible individual with eligible spouse)
F	Child claim with father
I	Individual with or without ineligible spouse
M	Child claim with mother
P	Child claim with parents
X	State-to-SSA record exception

Record
Length
{1-4{

This is an four position numeric display determined by SDX logic.

This reflects the length of the SDX record.

Record
Processing
Date
16-23]

This is an (MMDDCCYY) eight position numeric display from the date in the header of the record.

This reflects the date the current transaction was processed by the SSI system.

Record
Source Code
[42]

This is a one position alphabetic display of the SSR DSMA RCD-SOURCE (RECORD SOURCE CODE).

This reflects the source of the record.

Code	Value
C	Initial State conversion case
D	Conversion case which may or may not have been properly identified as State deletion (may currently be

eligible)

N	DO new claim
P	DO pipe-line case
" "	(blank) DO new claim

Redetermination

Date

[24-29] This is an (MMCCYY) six position numeric display of the SSR DSMA 3RD-PARTY-INS-RD. This is converted from relative date format to MMCCYY format.

This reflects the completion date of the last redetermination. Redetermination form has been received and all required actions are completed.

Representative

Payee

Selection Date

[923-930] This is an (MMDDCCYY) eight position numeric display of the SSR DSMA REPDOS (REPRESENTATIVE PAYEE DATA OF SELECTION OR CHANGE). This is converted from Julian data to calendar format.

This reflects the date the current representative payee was selected for the individual and/or spouse.

Reserved For

Wire

Transmission

Character

[2000] This is a one position alpha/numeric display. This is always a blank.

Residence

Address

[337-511] This is a one-hundred-seventy-five alpha/numeric/special display of the SSR DSMA AA-ADDR (APPLICANT'S ADDRESS), AA-CITY (APPLICANT'S CITY) AND AA-STNAME (APPLICANT'S STATE NAME).

This reflects the address where the recipient lives if the address is different from the recipient's mailing address. Otherwise this data element will be the same as the mailing address or

blank.

Residence
Address -
Number Of
Lines
[336]

This is a one position numeric display of the SSR DSMA AALNG (NUMBER OF LINES FOR ADDRESS).

This indicates the number of lines used for the address at which the applicant lives. The number of lines cannot exceed five. (Each line is up to thirty-five characters long.) Lines with less than 15 characters will be filled with blanks.
NOTE: State code appears in positions 24-25 of the last address line.

Residence
Zip Code
[512-516]

This is a five position alpha/numeric display of the SSR DSMA AAZIP (APPLICANT'S ADDRESS ZIP CODE).

This reflects the ZIP code of the recipient's address if the residence address is different from the mailing address. Otherwise this data element will be blank.

Residence
Zip+4 Code
[517-520]

This is a four position alpha/numeric display of the SSR DSMA AAZIP6-9 (APPLICANT'S ADDRESS ZIP CODE SUFFIX).

This reflects the ZIP code plus 4, if present of the SSI master file, for the residence address of the individual.

Resource
Code - House
[587]

This is a one position alphabetic display of the SSR DSMA RESOURCE (RESOURCE CODE).

This indicates whether or not the recipient owns a house.

Code	Value
A	Possession of a home - principal

	place of residence
S	Equity in a non-excludable property is expected to increase in value
T	Home and equity in non-excludable property
Z	None
"	(blank) Not determined

Resource
Code -
Income
Producing
Property
[590]

This is a one position alphabetic display of the SSR DSMA RESOURCE (RESOURCE CODE).

This indicates whether or not the recipient owns income producing property. If so, the code indicates whether or not the individual must dispose of the property.

Code	Value
D	Income producing property is under or over the limit
M	Individual is required to dispose of the income producing property
Z	None
"	(blank) Not determined

Resource
Code - Life
Insurance
[589]

This is a one position alphabetic display of the SSR DSMA RESOURCE (RESOURCE CODE) .

This indicates whether or not the recipient has life insurance. If so, the code indicates whether or not the individual must dispose of the life insurance.

Code	Value
------	-------

SDX Data Element Definitions

C	Life Insurance - face value is over \$1,500.00
L	Individual is required to dispose of the life insurance
Z	None
"	(blank) Not determined

Resource
Code - Other
[591]

This is a one position alphabetic display of the SSR DSMA RESOURCE (RESOURCE CODE).

This indicates whether or not the recipient owns other resources. If so, the code indicates whether or not the individual must dispose of the other insurance.

Code	Value
E	Owns other resources over the limit
N	Individual is required to dispose of the other resources
Z	None
"	(blank) Not determined

Resource
Code -
Vehicle
{588}

This is a one position alphabetic display of the SSR DSMA RESOURCE (RESOURCE CODE).

This indicates whether or not the recipient owns a vehicle. If so, the code indicates whether or not the individual must dispose of the vehicle.

Code	Value
B	Owns a vehicle - either over or under the limit
K	Individual is required to dispose of the vehicle

Z None
 ""(blank) Not determined

Rollback
 Code
 [948]

This is a one position numeric display of the SSR DSMA ROLL-BACK (ROLLBACK INDICATOR).

This applies to State converted disability cases and indicates if the recipient received State payments prior to July 01, 1973, or is subject to Title XVI disability criteria.

Code	Value
1	Potential rollback
2	State payments were made prior to July 01, 1973
3	No disability payments were made prior to July 01, 1973 (State Disability Determination Section determination is needed)
4	The recipient meets Title XVI criteria
5	The recipient is not disabled (Title XVI criteria), reviewed and denied by the State Disability Determination Section
6	Final disability allowance determination has not been input
7	Final disability denial determination has not been input
""(blank)	Not applicable

Selection Indicator Code
 [1881]

This is a one position alpha/numeric display of the DSMA RED-LOWPROB.

These are selection codes indicating the type of redetermination or limited issue case and if the field office (FO) or Wilkes-Barre Data

SDX Data Element Definitions

Operations Center (WBDOC) is responsible for completion.

Code	Value
A	FO Selection, profile M (high error profile)
B	FO selection, 1619B cases
D	FO selection, profile D (medium error profile)
E	FO selection, profile E (high error profile)
J	1986 delayed UC, first payment prior to 8/1/86
K	an unselected UC (unredetermined case)
L	prior to 12/70 section, LOPC case
M	FO selection, US case, profile 1
N	FO selection, profile C (high error profile)
O	FO selection, profile O (medium error profile)
P	Prior to FY 89 - Profile A or B, meets 1619A criteria
Q	FO selection, IC initially established as pending
R	Prior to FY 89 - profile A or B, record has deemed income
S	WBDOC selection
T	WBDOC selection, transferred to FO due to responses on SSA-8202
U	FO selection, UC case, profile 2
V	FO selection, force pay case
W	WBDOC selection, processed by FO prior to WBDOC transmission of input to transfer the case or initiated or completed by FO while pending in WBDOC
X	FO selection, limited issue case
Y	WBDOC selection - transferred to FO for non-response
Z	Prior FY 86 - released to WBDOC processed by FO
1	Excluded from WBDOC, diary code of 5B, 5H, KB, K8, K9, KZ, K6, K7, D6, K3, 3R, KE, SB, S2m U5, or DI
2	Excluded from WBDOC, federal living arrangement is C
3	Excluded from WBDOC, record has deemed income
4	Excluded from WBDOC, assistance based on

SDX Data Element Definitions

- 5 need type F unearned income
Excluded from WBDOC, blind countable income type K unearned income
- 6 Excluded from WBDOC, in-kind support and maintenance type H unearned income
- 7 Excluded from WBDOC - unverified wages or self-employment income
- 8 Excluded from WBDOC - specific ZIP codes, see POMS part 8 SM01501.002C under section indicator #8
- 9 Excluded from MWDOC, meets 1619A criteria

Sex Code [110]

This is a one position alphabetic display of the SSR DSMA SEX (SEX CODE).

This indicates the sex of the recipient.

Code	Value
M	Male
F	Female
U	Unknown

Special Needs Code (Other Than Essential Person) [1530]

This is a one position alphabetic display of the SSR DSMA SPECND (SPECIAL NEEDS CODE).

This indicates whether or not the State grant amount included as allowance for special needs.

Code	Value
Y	Special needs included in State benefit amount
N	Special needs not included in State benefit amount

SSI Gross Payable Amount

SDX Data Element Definitions

[1434-1440] This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA FEDAMT (MONTHLY FEDERAL ASSISTANCE AMOUNT).

This reflects the Federal amount the recipient is entitled to receive before any adjustments for overpayments.

SSI Monthly
Assistance
Amount

[1427-1433
1463-1469
1485-1491
1507-1513]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA FEDPMT (FEDERAL MONTY AMOUNT). This data item may appear multiple times on the SDX record.

This reflects the cumulative Federal SSI payment(s) actually paid to the recipient under Title XVI.

On SDX treasury files, this is the amount of the regular monthly check issued to the recipient. On treasury files, this data element will usually be equal to the amount shown in the SSI Gross Payable Amount. However, adjustments made for underpayments, overpayments, advance payment recovery, suspense actions, and returned check transactions may cause these two data elements to be unequal.

On the SDX daily, this is the amount of the previous month's treasury check, plus any underpayments which have been issued since the last treasury run.

SSI/Optional
SSP
Eligibility
Date

[570-577]

This is a (MMDDCCYY) eight position numeric display determined by the SDX program logic.

This reflects the date the recipient was first determined eligible, or most recently redetermined eligible after a period of ineligibility. The actual day of the month and year of eligibility is

reflected. When mandatory State supplementation payment is applicable, the day of entitlement will always be "01". If the record is for an essential person, or if the payment status is "Nxx" or "Txx", the data element will contain zeros.

SSN

{43-51]

This is a nine position numeric display of the SSR DSMA PAN (SOCIAL SECURITY NUMBER).

This reflects the SSN of the recipient.

SSN

Correction
Indicator

[1027]

This is a one position alphabetic display determined by SDX program logic.

This reflects the status of a pseudo SSN (900 series), or invalid SSN, assigned to the recipient.

Code	Value
------	-------

A	A pseudo, or invalid, SSN appears in the SSN and a valid SSN in the last nine positions of the SSN - List of Multiple SSN's is being initially transmitted to the State.
---	--

B	A valid SSN appears in the SSN and the pseudo, or invalid, SSN is shown in one of the slots of the SSN - List of Multiple of SSN's
---	--

SSN Cross -
Reference

Indicator
[975]

This is a one position numeric display determined by SDX program logic.

This reflects in which data element, if any, of the SSN - List of Multiple SSN's may be found a cross-reference SSN to be reaccreted by means of the T20, T22, or T30 procedures.

Code	Value
------	-------

1-5	A cross-reference SSN may be found
-----	------------------------------------

in the first, second, third, fourth, or fifth data elements of the SSN - List of Multiple SSN's.

" "(blank) This indicates one of the following conditions: No space in SSN - List of Multiple SSN's for a cross-reference SSN, the cross-reference SSN has been dropped. No cross-reference SSN is available. No cross-reference SSN was input, or it was incorrectly input. A cross-reference SSN is not applicable. Recreation occurred under the same SSN. SSN is a multiple SSN and not a cross-reference number.

SSN - List of
Multiple SSN's
[977 - 985
987 - 995
997 - 1005
1007 - 1015
1017 - 1025]

This is a nine position numeric display of the SSR DSMA MSSN.

This data item may appear multiple times on the SDX record.

This reflects the additional SSN's used by the individual. Space is available to record up to five multiple SSN's for an individual.

SSN -
Multiple SSN
Indicator
[976]

This is a one position numeric display of the SSR DSMA MSSN-VAL.

This shows the number of additional SSN used by the individual. Indicates up to a maximum of five multiple SSN's in SSN - List of Multiple SSN's.

Code

1-5

SSN Of
Eligible
Spouse
[631-692]

This is a nine position numeric display of the SSR DSMA PAN-S.

This reflects the SSN of the eligible spouse or eligible individual in the spouse's data element.

SSN of
Essential
Person Of
Eligible
Individual
[631-639]

This is a nine position numeric display of the SSR DSMA PAN-S OR PAN-IS.

This reflects the SSN of the essential person in the record of an eligible individual, or the SSN of the eligible individual in the record of an essential person.

SSN Of
Ineligible
Spouse or
Parent
[724 - 732
839 - 847]

This is a nine position numeric display of the SSR DSMA PAN-IS. This data item may appear multiple times on the SDX record.

This reflects the SSN of the ineligible spouse or parent.

State And
County Code
of
Jurisdiction
[1544 - 1548
1561 - 1565
1578 - 1582
1595 - 1599
1612 - 1616
1629 - 1633
1646 - 1650
1663 - 1667
1680 - 1684]

SDX Data Element Definitions

1697 - 1701]

This is a (SSCCC) five position alpha/numeric Display of the SSR DSMA STSUPGP (STATE AND COUNTY CODE FOR SUPPLEMENTATION). This data item may appear multiple times on the SDX record.

This reflects the State and county that are responsible for any mandatory, or optional, supplementation payment. Represents the State and county of residence for the recipient unless another State and county have jurisdiction.

State and
County Code
Of
Reimbursement
[1775 - 1779]

This is a (SSCCC) five position alpha/numeric display of the SSR DSMA STCOREIM (STATE AND COUNTY CODE OF REIMBURSEMENT).

This reflects the State and county corresponding to the agency with which the SSI/State Supplementation applicant signed an agreement for reimbursement of interim assistance payments. This will be zero filled in the following situations:

- o The SDX record is for an essential person.
- o An applicant who may not have authorized (or timely authorized) reimbursement to the State, or
- o Where there is no Federal/State agreement for reimbursement. individual was converted to the Federal program.

State Benefit
Amount
[1767 - 1773]

This is a (\$\$\$\$\$cc) seven position numeric display of the SSR DSMA STGRT (STATE BENEFIT AMOUNT).

This reflects the amount of the State grant for December 1973, including special needs, if applicable. This applies to conversion

cases only.

For the State of Mississippi, the State benefit amount in the amount of mandatory supplementation paid by the State in June 1974, as reported by the State.

State Code At
Conversion
[958 - 959]

This is a two position numeric display of the SSR DSMA STCOCNV (STATE CODE AT CONVERSION).

This reflects the State from which the individual was converted to the Federal program.

State
Supplement
Amount
[1441 - 1449
1470 - 1476
1492 - 1498
1514 - 1520]

This is a (\$\$\$\$\$cc) seven position numeric display of the SSR DSMA STATPMT (STATE SUPPLEMENTATION AMOUNT). This data item may appear multiple times on the SDX record.

This reflects the cumulative State supplementation payment(s) actually paid to the recipient.

On the SDX treasury files, this is the amount of the regular monthly check issued to the recipient. This will usually equal the amount shown in the State Supplement Gross Payable Amount. However, adjustments made for overpayment, underpayments, advance payment recovery, suspense actions, and returned check transactions may cause these two data elements to be unequal.

On the SDX daily and weekly files, this contains the amount of the previous month's treasury check, plus any underpayments which have been issued to the recipient since the last treasury run.

State
Supplement

Gross
Payable
Amount
[1448 - 1454]

This is a (\$\$\$\$\$cc) seven position numeric display of the SSR DSMA SUPAMT (CURRENT AMOUNT OF STATE SUPPLEMENTATION).

This reflects the amount of Federally administered supplementation the recipient is entitled to receive before adjustment for overpayments.

Reserved For
State Use
(Always
Blank)
[1960 -1999]

This is a forty position area reserved for use by the individual States.

Student
Indicator
[1030]

This is a one position alphabetic display of the SSR DSMA STUDM (STUDENT INDICATOR).

This shows whether or not the individual is a student.

Code	Value
Y	The individual is a student.
N	The individual is not a student.

Telephone
Number
[524 - 533]

This is a ten position numeric display of the SSR DSMA TEL-NO (RECIPIENT'S TELEPHONE NUMBER).

This reflects the recipient's telephone number.

Third Party
Event
Liability
Date
[594 -599]

This is a (MMCCYY) six position numeric display of the SSR DSMA 3RD PARTY-INS-RD

THIRD PARTY INSURANCE RELATIVE DATE).

This reflects the effective date of the recipient's third party liability insurance election (see Third Party Insurance Indicator codes).

Third Party
Insurance
Indicator
[592]

This is a one position alphabetic display of the SSR DSMA 3RD-PARTY-INS (THIRD PARTY COVERAGE INDICATOR) used in 1634 States only.

This shows whether or not there could be third party liability for health care expenses.

Code	Value
A	Applicant refuses to assign rights for third party insurance.
F	Disabled/blind child living overseas, ineligible for Medicaid, and living with a parent who is a member of the military.
N	Third party liability does not exist.
Q	Medicaid qualifying trust may exist.
R	Applicant refuses to cooperate in providing third party liability data.
Y	Third party liability does exist and applicant agrees to assign rights.
" "	(blank) Not Applicable

Transaction
Code
{6 - 7}

This is a two position alpha/numeric display

SDX Data Element Definitions

determined by the SDX program logic from information in the leader for the record.

Code	Value
00	No action has been taken since the last SDX record (appears on treasury files only), refers to no payment actions.
OP	Identifies a pending record
OW	Identifies a T30 termination action
OX	Identifies a T30 recreation, potentially ineligible (appears only on SDX update files)
OY	Identifies a T30 reaccretion, potentially eligible (appears only on SDX update files)
OZ	Identifies a T30 new/replacement record (see How SDX SHOWS SSN CHANGES)

Position 6 Code	Value
0-	No State input
1-	State identification number accreted
2-	State identification number not accreted due to mismatch
3-	State identification number changed
4-	State identification number Not changed due to mismatch
5-	State identification number Deleted
6-	State identification number Not deleted due to mismatch

SDX Data Element Definitions

7-	Requested SDX record provided in response to State query
8-	No requested SDX data Provided due to mismatch
9-	No requested SDX data Provided due to mismatch, the State requested a pending record and no record is in file
A-	State cross-reference WIN Updated
B-	IAR transaction processed
C-	IAR transaction rejected (reject listing to follow)
BJ	Identifies a A503@ leads file Record
RF	Identifies a reconciliation File record
Position 7 Code	Value
-0	No action has been taken since the last SDX record (appears on treasury files only), refers to no payment actions only.
-P	Identifies a pending record
-W	Identifies a T30 termination action
-X	Identifies a T30 recreation, potentially ineligible (appears only on SDX update files)
-Y	Identifies a T30 reaccretion, potentially eligible (appears only on SDX update files)

SDX Data Element Definitions

- Z Identifies a T30 new/replacement record (see how SDS Shows SSN Changes)
- 1 New claim B currently eligible B If payment status code is equal to AE01@, no SSI payment will be made.
- 2 New Claim B currently ineligible.
- 3 New to State B eligible for SSI and/or Supplementation in new State.
- 4 New to State B Ineligible in new state
- 5 Individual moved to another State
- 6 Change, other than a change of address, has occurred in the record
- 7 Nonpayment (pay status equals ANxx@) or termination (pay status equals ATxx@, other than AT30@), transaction to a record in pay status AC01@, AE01@, AE02@, AM01@, AP01@, or ASxx@, or a change of an ineligible record that does not effect eligibility status
- 8 Intrastate change of address and/or payee name change (Payee Name and Mailing Address, Payee ZIP Code, Residence Address, residence ZIP Codes)
- 9 Intrastate change of address file record

Type of Action
[1878-1879]

This is a two position alphabetic/numeric display of the DSMA TOA (Transaction Code Type of Action)

This indicates the type SSI record or correction rational to process post eligibility actions

Value	Descriptions
AI	Aged individual
AX	Aged individual with an ineligible spouse
AE	Aged individual with eligible spouse
BI	Blind Individual
BX	Blind individual with ineligible Spouse
BE	Blind individual with eligible spouse
DE	Disabled individual with Eligible spouse
DI	Disabled individual
DX	Disabled individual with ineligible spouse
BC	Blind child
BM	Blind Child living with mother
BF	Blind child living with father
BB	Blind child living with both parents
DC	Disabled child
DM	Disabled child living with mother
DF	Disabled child living with father

SDX Data Element Definitions

DB	Disabled child living with both parents
ES	Eligible individual awaiting spouse
80	Orbitted SSR with change in the housed under number
10	Individual full application
20	Terminated (RIC T) - unpaid, denied claim
01	Reject RIC X initial application
02	Reject Ric X post-entitlement
03	Reject RIC X post entitlement
04	Reject RIC X initial control application
05	Reject RIC X Case control maintenance
07	Reject RIC X State agency record reject from edit
08	Reject RIC X BHA reject
10	Reject RIC X INDIVIDUAL APPLICATION contains 10 or more relationship exceptions
11	Reject RIC X spousal application Contains 10 or more relationship exceptions
12	Reject RIC X spouse rejected, no Individual
16	Reject RIC X individual in payment Status - spouse application (120) - Rejected Bad Spread
19	Reject (RIC X) eligible individual rejected for mechanical format

SDX Data Element Definitions

	error (bad spread)
20	Reject RIC X - eligible spouse Rejected for mechanical format error (bad spread)
30	Reject RIC X case control State agency reject record
31	Reject RIC X case control 8028 relationship rejects
32	Reject RIC X case control 1719B record
34	Reject RIC X case control state Agency VR query reject
40	Reject RIC X redetermination Reject to DO-CO sort
DD	Reject RIC X duplicate on secondary Number
DE	Reject RIC X initial claims reject
DX	Reject RIC X SDX reject
RJ	Reject RIC X PE update reject
RX	Reject RIC X PE update reject

Type of
Payee Code
[935 - 937]

This is a three position alphabetic display
of the SSR DSMA REPAYTYP (TYPE OF PAYEE).
This identifies the individual who receives
the check

Code	Value
AGY	Social agency
CHD	Natural, adoptive, or stepchild (a payee for parent)
ESP	Essential person is representative payee

SDX Data Element Definitions

FDM	Federal non-mental institution
FDO	Federal non-mental institution
FIN	Financial organization
FTH	Natural or adoptive father
GPR	Grandparent
INP	Legally incompetent, but no representative payee has been selected
MTH	Natural or adoptive mother
NPM	Non-profit mental institution
NPO	Non-profit non-mental institution
OFF	Public official
OTH	Other
PRM	Proprietary mental institution
PRO	Proprietary non-mental institution
PYE	Recipient previously had representative payee, but now is receiving direct payment
REL	Other relative (includes in-laws)
SEL	Beneficiary is own payee
SFT	Stepfather
SLM	State/local mental institution
SLO	State/local non-mental institution
SMT	Stepmother
SPO	Spouse is payee

Unearned
Income
Amount

[1076 - 1082
 1110 - 1116
 1144 - 1150
 1178 - 1184
 1212 - 1218
 1246 - 1252
 1280 - 1286
 1314 - 1320
 1384 - 1354]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA IUEAMT (UNEARNED INCOME AMOUNT). This data item may appear multiple times on the SDX record.

This reflects the monthly amount of unearned income for the recipient, as well as other amounts that are not income to the recipient, i.e., MIL amounts, and deeming allocations, and blind income exclusions.)

For type A, the money amount will be zero when the claim/identification number has a "T" or "M" suffix (uninsured beneficiary with health benefits).

For suffixes other than "T" or "M", the money amount may be zero if the unearned income frequency code is "C", "N", or "T". This generally occurs because the recipient is dually entitled but receives only one Title II check. Both claim/identification numbers appear in the record, but with a positive money amount for the primary claim number and a zero money amount for the second claim number.

Unearned
 Income
 Amount -
 Ineligible
 Spouse Or
 Parent
 [782 - 788
 791 - 797
 800 - 903
 906 - 912
 915 - 921]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA IUEAMT (UNEARNED INCOME AMOUNT). This data item may appear

multiple times on the SDX record.

This reflects the monthly amount of unearned income for the ineligible spouse or parent.

For unearned income other than Social Security benefits (type A), the money amount will always be greater than zero.

For type A, the money amount will be zero when the claim/identification number has a "T" or "M" suffix (uninsured beneficiary with health benefits).

For suffixes other than "T" or "M", the money amount may be zero if the unearned income frequency code is "C", "N", or "T". This generally occurs because the recipient is dually entitled but receives only one Title II check. Both claim/identification numbers appear in the record, but a positive money amount for the primary claim number and a zero money amount for the second claim number.

Unearned
Income
Frequency
[1083
1117
1151
1185
1219
1253
1287
1321
1355]

This is a one position numeric display of the SSR DSMA IUEFRQ (UNEARNED INCOME FREQUENCY). This data item may appear multiple times on the SDX record.

This indicates whether or not unearned income is being received, or was received.

Code	Value
C	Continuous monthly payment, or uninsured (Title II claim number suffix "T" and "M"), or

SDX Data Element Definitions

	Title II benefits in non-pay status.
N	One-time payment
R	Used in conjunction with type "A" income to indicate recent Retirement, Survivors, and Disability Insurance filing, or with type "D" income to indicate potential eligibility to a RRB benefit.
T	Termination of continuous monthly payment
U	Used only in conjunction with a type "D" entry to indicate RRB has jurisdiction of the title II (type A) payment and that recipient's entitlement to a RRB annuity has not been determined.
"(blank) Initialized value	

Unearned
 Income
 Frequency -
 Ineligible
 Spouse Or
 Parent
 [789
 798
 807
 904
 913
 922]

This is a one position alphabetic display of the SSR DSMA IUEFRQ (UNEARNED INCOME FREQUENCY). This data item may appear multiple times on the SDX record.

This indicates whether or not unearned income is being received, or was received.

Code	Value
C	Continuous monthly payment, or uninsured (Title II claim number suffix "T" and

"M"), or Title II benefits in non-pay status.

- N One-time payment
- R Used in conjunction with a type "A" income to indicate recent Retirement, Survivors, and Disability Insurance filing, or with type "D" income to indicate potential eligibility to a RRB benefit
- T Termination of continuous monthly payment
- U Used only in conjunction with a type "D" entry to indicate RRB has jurisdiction of the title II (type A) payment and that recipient's entitlement to a RRB annuity has not been determined.

" "(blank) Initialized value

Unearned
Income -
Net
Countable
Amount

[1753 -1759]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA UINCM (CHARGEABLE UNEARNED INCOME AMOUNT).

This reflects the current month's amount of unearned income after all exclusions are applied. Used in determining eligibility and, if the Budget Month Flag is zero, computing the benefit; includes income deemed to the eligible individual, if applicable.

Unearned
Income -
Number of
Entries

[1061]

This is a one position numeric display of the SSR DSMA IUENO (NUMBER OF ENTRIES FOR UNEARNED INCOME).

This reflects the number of entries for the unearned income data elements in the array.

Code	Value
0-9	When there are more than nine income entries this will contain a "9", and an overflow indicator will be reflected in the Unearned Income Overflow Indicator.

Unearned
Income
Overflow
Indicator
[1062]

This is a one position numeric display determined by the SDX program logic.

This shows whether or not there is an overflow in the Unearned Income - Number of Entries. Where more than nine unearned income entries exist on the SSI master, only the most current nine are reflected on the SDX files.

Code	Value
0	Nine or fewer unearned income entries exist.
1	An overflow condition exists in Unearned Income - Number of Entries.

Unearned
Income -
Retrospective
Net
Countable
Amount
[1725 - 1731]

This is a (\$\$\$\$cc) seven position numeric display of the SSR DSMA UINCM (CHARGEABLE UNEARNED INCOME AMOUNT).

This reflects the money amount of unearned income used in computing the payment if the Budget Month Flag is equal to other than zero or blank. They will always equal zeros if the Budget Month Flag is equal to zero or blank.

Unearned
Income Start
Date
[1064 - 1069
1098 - 1103
1132 - 1137
1166 - 1171
1200 - 1205
1234 - 1239
1268 - 1273
1302 - 1307
1336 - 1341]

This is a (CCYYMM) six position numeric display of the SSR DSMA IUESTART-RD (UNEARNED INCOME PERIOD). This data item may appear multiple times on the SDX record.

This indicates the date when the unearned income started if the payment is monthly or when received if a one-time payment.

Unearned
Income Stop
Date
[1070 - 1075
1104 - 1109
1138 - 1143
1172 - 1177
1206 - 1211
1240 - 1245
1274 - 1279
1308 - 1311
1342 - 1347]

This is a (CCYYMM) six position numeric display of the SSR DSMA IUESTOP-RD (UNEARNED INCOME STOP DATE). This data item may appear multiple times on the SDX record.

This reflects the effective date of termination of unearned income. In a situation where the unearned income amount changes, this will be the last date the previous rate, or one-time payment, was received.

NOTE: The year can be "89" on continuing Title II income to indicate payment adjustment in process.

Unearned
Income Type
Code
[1063]

1097
 1131
 1165
 1199
 1233
 1267
 1301
 1335]

This is a one position alphabetic display of the SSR DSMA IUETYP (UNEARNED INCOME TYPE CODE). This data item may appear multiple times on the SDX record.

This indicates the particular kind of unearned income the recipient is, or was, receiving as well as other amounts that are not income to the recipient; i.e., codes I, K, X, Y and Z. The last three occurrences of type "A" (Social Security) income will appear. The most recent payment will be displayed first, followed by the earlier payments in reverse chronological order. All other types of unearned income reflect the most recent occurrence.

Code	Value
A	Social Security
B	Black Lung
C	VA (not based on need)
D	RRB
E	VA (based on need)
F	Assistance based on need and not excluded from unearned income
G	Title II income used to offset SSI
H	Income in-kind (support and maintenance)
I	Ineligible child allocation
J	Value of one-third reduction
K	Blind countable income

SDX Data Element Definitions

L	Military pension
M	Federal Civil Service pension
N	Support payments received from absent parent
O	Income based on need from private sources
P	Employment-related pension (State or local government retirement, private pension)
Q	Worker's Compensation
R	Rents, interest, dividends, royalties
S	Other
T	Alaska longevity bonus
U	Concurrent and title II only attorney's fees allocated over months where Type A, G or W unearned income is present
V	Net deemed income (see Deemed Income Amount and Deemed Income amount (Retrospective))
W	Title II income used in windfall offset computations
X	Minimum income level amount
Y	Special needs reduction (applies to a Federal countable minimum income level)
Z	State countable income (State of Vermont only)
" "	(blank) Initialized value

Unearned

Income Type
 Code -
 Ineligible
 Spouse Or
 Parent
 [781
 790
 799
 896
 905
 914]

This is a one position alphabetic display of the SSR DSMA IUETYP (UNEARNED INCOME TYPE CODE). This data item may appear multiple times on the SDX record.

This indicates the particular kind of unearned income the ineligible spouse or parent is, or was, receiving as well as other amounts that are not income; i.e., codes I, K, X, Y and Z. The last three occurrences of type "A" (Social Security) income will appear. The most recent payment will be displayed first, followed by the earlier payments in reverse chronological order. All other types of unearned income reflect the most recent occurrence.

Code	Value
A	Social Security
B	Black Lung
C	VA (not based on need)
D	RRB
E	VA (based on need)
F	Assistance based on need and not excluded from unearned income
G	Title XVI offset
H	Income in-kind (support and maintenance)
I	Ineligible child allocation
J	Value of one-third reduction

SDX Data Element Definitions

K	Blind countable income
L	Military pension
M	Federal Civil Service pension
N	Support payments received from absent parent
O	Income based on need from private sources
P	Employment-related pension (State or local government retirement, private pension)
Q	Worker's Compensation
R	Rents, interest, dividends, royalties
S	Other
T	Alaska longevity bonus
V	Net deemed income (see Deemed Income Amount and Deemed Income amount (Retrospective))
W	Title II offset
X	Minimum income level amount (for bases converted to SSI in Dec. 1973)
Y	Special needs reduction (applies to a Federal countable minimum income level)
Z	State countable income (State of Vermont only)
" "	(blank) Initialized value

Unearned
Income
Verification
Code

1096
 1130
 1164
 1198
 1232
 1266
 1300
 1334
 1368]

This is a one position numeric display of the SSR DSMA IUEVAR (UNEARNED INCOME VERIFICATION CODE). This data item may appear multiple times on the SDX record.

This indicates whether or not the unearned income allegations of the recipient have been verified.

Code	Value
0	Number and income amount have not been verified
1	Number has been verified, amount has not been verified
2	Number and income amount have been verified
3	Number and income amount have not been verified. Title II IMPACC/A payment made (Code was previously "0").
4	Number has been verified, amount has not been verified. Title II IMPACCA/A payment made (Code was previously "1").
5	If Unearned Income Type Code is equal to "A", number and income amount have been verified for IMPACC/A payment (Code was previously "2").
	If unearned income type code is equal to "X", the income level has been transmitted to new record following a

SDX Data Element Definitions

"T30"/"T50" action.

6 If Unearned Income Type Code is equal to "A", IMPACC/A payment has been made (no previous code).

If unearned income type code is equal to "X", systems generated one-time Title II payment was received in the first quarter of 1974.

7 Federal countable income, applies only to Unearned Income Type Codes equal to "X" and/or "Z" (no longer generated).

8 Recipient is dually entitled for Title II

9 The system adjusted a prior code of "8" or "Z" entries; Codes "0", "1", or "2" apply to Unearned Income Type Codes equal to "A", "B", "C", "D", or "E", Codes "6", "7", "8", or "9" apply to Unearned Income Type Codes equal to "X" or "Z" (State of Vermont conversion cases only).

I Identification number and amount verified Title II being paid in installments because of DA & A provision.

" "(blank) Initialized value

Verification
Code -
Multiple
SSN'S
[986
996
1006
1016
1026]

This is a one position alphabetic display of

the SSR DSMA MSSN-VAL (MULTIPLE ACCOUNT NUMBER VERIFICATION CODE). This data item may appear multiple times on the SDX record.

This indicates the five possibilities of the occurrences in the SSN - List of Multiple SSN's.

Code	Value
A	Affirmed by a SSA DO
I	Incorrect SSN, change has been processed
N	SSN has not been verified
P	"Pseudo" SSN
T	Cross-reference number terminated and will not re-accredit
X	Cross-reference SSN
Y	SSN has been verified

WIN Of
Eligible
Spouse
[671-692]

This is a twenty two position alpha/numeric/special display of the SSR DSMA STCOID.

This is the Welfare Identification Number (WIN) proved by the State for the eligible spouse in the record of an eligible individual or the WIN of the eligible individual in the record of the eligible spouse.

WIN of
Essential
Person
[640-661]

This is a twenty two position alpha/numeric/special display of the SSR DSMA STCOID.

This is the Welfare Identification Number

SDX Data Element Definitions

(WIN) provided by the State for the essential person in the record of an eligible individual or the WIN of the eligible individual in the record of the essential person.

Win of
Recipient
[608-629]

This is a twenty two position alpha/numeric/special display of the SSR DSMA SCTOID. This is the Welfare Identification Number (WIN) proved by the State for each welfare recipient.

This is maintained through State-to-SSA input.

WIN Of
Ineligible
Spouse or
Parent
[745-766
860-881]

This is a twenty two position alpha/numeric/special display of the SSR DSMA SCTOID. This data item may appear multiple times on the SDX record.

This is the Welfare Identification Number (WIN) proved by the State for the ineligible spouse or parent.

Welfare
Office Code
12/73 Conversion
Only
[960-963]

This is a four position alpha/numeric display of the SSR DSMA ORIG=OFF (ORIGINATING OFFICE).

This identifies the local welfare office from which the claim was converted.

This applies to conversion cases only.

Zebley
Indicator
[112]

This is a one position alphabetic display of the SSR DSMA ZEBIND (ZEBLEY INDICATOR).

This reflects the status of the Zebley claim.

Code	Value
Z	The individual has been identified by SSA FO as a potential Zebley case.
D	The case has been denied for any Zebley payments.
F	The final Zebley payment has been made to the individual.

NUMBERING SYSTEM FOR THE SDX FILES

The SDX numbering system is derived from both the sequential daily processing and the monthly processing cycle for the SSI system. States may obtain processing calendars from SSA RO's to determine when to expect receipt of specific files.

Treasury files are created approximately mid-month reflecting the first of the month SSI payment for the following month. These files are numbered MMYYTRES, with MMYT representing the month and year of the payment.

Update files, following each treasury file, are numbered sequentially. The MMYT designation on updates however, represent the operating month/year of the month following the treasury run (i.e., the 0299 TRES is followed by 0399 updates).

The purpose of the month/year designation is to reflect information for eligibility purposes. Following a treasury run, all data being reflected will be used to establish eligibility for SSI payment on the next treasury run.

Although the updates reflect a different eligibility period than the treasury run they follow, they do reflect the same payment period. Paid amounts are accumulated based on payment dates from the first to the end of each calendar month. Because of this method of eligibility/payment reporting only the treasury run for a given month presents both eligibility and payment information for the same period of time. On any other SDX file, payment amounts and eligibility amounts may differ.

Daily Update Label (1st one for 02/99)

"0299U1B"

02 = the month

99 = the year

U1 = 1st

update week for 02/99 SSI check

1st daily update for 02/99 - later updates will be labeled B-Z

B = February (Months are coded A-L for January-December.)

Last Daily Update for 2/99

2/99U6XBZ

The last daily update (Z) will be followed by treasury file.

INTERNAL HEADER RECORD FORMAT

Data Element	Description	Position	Characters
1	Blank	1	1
2	Tape File Identification (Constant SDX)	2-4	3
3	Blank	5	1
4	State Code Identification (Assigned by SSA)	6-7	2
5	Blank	8	1
6	Run Date MMDDYY	9-14	6
7	Blank	15	1
8	Head Identification (Constant H)	16	1
9	Blank	17	1
10	Reel Number	18-19	2
11	Blank	20	1
12	Identification (ex. 0199U4LZ)	21-28	8
13	Blanks	29-141	113
14	Type of File (Constant SSA- State)	142-150	9
15	Blanks	151-153	3
16	SSR Run Numbers (ex. 511-512)	154-160	7
17	Blank	161	1
18	SDX Record	162	1
19	Blanks	163-2,000	1,838

INTERNAL TRAILER RECORD FORMAT

Data Element	Description	POSITION	CHARACTER
1	Blank	1	1
2	Tape File Identification (Constant SDX)	2-4	3
3	Blank	5	1
4	State Code Identification (Assigned by SSA)	6-7	2
5	Blank	8	1
6	Cutoff Date MMDDYY	9-14	6
7	Blank	15	1
8	Trailer Identification (Constant T)	16	1
9	Blank	17	1
10	Reel Number	18-19	2
11	Blank	20	1
12	Number of State Identifica- tion Number Accretions (Position 1 of transaction code data elements = 1)	21-28	8
13	Number of State Identifica- tions Number Changes Position 1 or transaction Code data element =3 or A)	29-36	8
14	Number of State Identifica- tion Number Deletions (Position 1 of transaction code data element =5)	37-44	8
15	Number of Query Records (Position 1 of Transaction code data element =7)	45-52	8
16	Number of Mismatched Records	53-60	8

	(Position 1 of transaction code data element = 2, 4, 6, or 8)		
17	Number of Records Not Found in File (Position 1 of transaction code data element =9)	61-68	8
18	Number of Accretions (Eligibles) (Position 2 of transaction code data element =1, 3, Y or Z)	69-76	8
19	Number of Deletions (Terminated or Moved out of State) (Position 2 of transaction code data element = 5, 7 or W)	77-84	8
20	Number of Ineligible Cases (Position 2 of transaction code data element = 2, 4 or X)	85-92	8
21	Number of Change Records (Position 2 of transaction code data element = 6, 8 or 9)	93-100	8
22	Number of Records on this Reel	101-108	8
23	Blank	109	1
24	Total Number of Records on File	110-117	8
25	Blank	118	1
26	End of Reel/File (R = Reel, F = File)	119	1
27	Blanks	120-141	2
28	Type of File (Constant SSA-State)	142-150	9
29	Blanks	151-2,000	1,850

WIRE TRANSMISSION INTERNAL ROUTES

ELEMENT	DESCRIPTION	POSITION	CHARACTERS
1	Constant ZXDWT	1-5	5
2	State Code	6-7	2
3	SDX Update Number (e.g., 442)	8-10	3
4	Production Date of File	11-16	6
5	Blank	17	1
6	File Identification (Constant SDX Trans to State)	18-36	19
7	Blanks	37-40	4
8	Router Identification (Constant RTR)	41-43	3
9	Blank	44	1
10	SDX Acronym Codes (Constant ZXDWT)	45-49	5
11	State Code	50-51	2
12	Standard "Period".)	52	1
13	SDX update Number (e.g., 4U)	53-54	2
14	Current Computational Month expressed in Alpha Codes (i.e., January = A, December = L, etc.)	55	1

15	Blank - With the exception of the last SDX update of a computational month when a "Z" will appear in this position	56	1
16	Destination Code (e.g., SPA, SWI, etc.)	57-59	3
17	Operation Date (File Cutoff Date)	60-65	6
18	Blank	66	1
19	Reel Sequence Number	67-69	3
20	Blank	70	1
21	Segment (Currently zeros (0s))	71-74	4
22	Blank	75	1
23	Blank (Internal SSA use only)	76	1
24	Blanks	77-80	4

WIRE TRANSMISSION OF THE SDX FILES

All SDX files are transmitted to the States via SSA's File Transfer Management System (FTMS). FTMS utilizes CONNECT:Direct software to transfer data both to and from all user sites. States must provide dataset names for all files coming from or being sent to SSA.

Files transmitted via FTMS are initiated at SSA automatically and are generally delivered to the receiving sites within an hour of their creation. Most file transfers occur shortly after midnight.

In those rare instances where files size exceeds what may be transmitted within an 8-hour period, SSA may determine it expedient to utilize cartridge media for data transfer. Files downloaded to cartridge will be shipped via Express for next-day delivery.

THE DETERMINATION OF THE MEDICAID STATUS

Determination of Medicaid status is the primary reason for the SDX. Although the Medicaid eligibility code is provided in the SDX, States may find it necessary to examine other related data elements to further define or refine Medicaid eligibility.

Definitions of Medicaid terms are shown below.

The Medicaid Eligibility Code is a code maintained by month which represents federal determinations of Medicaid eligibility for 1634 States. The 1634 States are Alabama, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Florida, Georgia, Iowa, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Mississippi, Montana, New Jersey, New Mexico, New York, North Carolina, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Vermont, Washington, West Virginia, Wisconsin, and Wyoming.

The Medicaid Effective Date is a code which, in conjunction with the Medicaid Eligibility Code, gives definitive information regarding eligibility for Medicaid coverage.

SSA updates the first day of continuing eligibility/ineligibility for Medicaid in conjunction with Medicaid Eligibility Code.

Code Meaning

- A Applicant refused to assign rights to third party insurance
- B Deeming waived; child under a State home care plan
- C Applicant is covered under provisions of section 1619(b) of Title XVI of the Act (MEDTEST indicator is A, B or F and Payment Status Code is equal to "EO1", or "N01") - eligible.
- D Disabled adult child
- E Eligible per State determination (obsolete code)
- G Payment is being continued due to an appeal - eligible.
- P Drug addiction and/or alcoholism
- Q Medicaid qualifying trusts may exist
- R Case is referred for State Determination of Medicaid eligibility due to:

- * initial denial,
- * initially eligible for SSI, but there is no money being paid (Payment Status Code is "E01", E02 or "M01") ineligibility for SSI,
- * payment is suspended (Payment Status Code is equal to "Sxx"),

S No determination of Medicaid eligibility because:
essential person record, and/or
State is not a 1634 State.

W Widow(er) (1634 State)

Y SSI payment is being made - eligible.

NOTE: Cases terminated (Payment Status Code is "Txx") maintain the Medicaid Eligibility Code contained in the last pre-termination month.

The following chart explains the coding of Medicaid Effective Date.

When	Then Medicaid Effective Date Shows
initial denial ("Nxx" in the Denial Code data element)	month, day, century and year of application
initial determination of eligibility (Payment Status Code data element is equal to "C01" or "MO1" with payment)	month, day, century and year of eligibility
eligibility changes	exact month, day, century and year the change is effective
payment suspended	first day of the month, century and year of suspension
move between 1634 non-1634 States	first day of the month, century and year for which residence in the current State is established

When	Then Medicaid Effective Date Shows
move between two 1634 States	date of the last change in Medicaid Eligibility Code. It is necessary in these cases to compare to date residency began to establish a date of Medicaid responsibility in the current State
Goldberg-Kelly is involved	first month, century and year for which Goldberg-Kelly payments are being continued

T30 TRANSACTIONS

Events requiring force payment (see force payment section) result in erroneous records on the SSR and, consequently, the necessity for manual computations of payment amount whenever a change occurs (e.g., a change in living arrangement). The T30 process corrects these situations.

The T30 process allows an existing record to be terminated, and a replacement record, known as a "start date" record, to be established if required. (Will be under automatic control.)

T30 is the payment status code which appears on the terminated record.

T30 records are input by FO's during each month and appear on the SDX update files generated during that month. These records are identifiable by the presence of a Transaction Code equal to "OW".

EXAMPLE: T30 input records processed between April 12 and May 15, the June computation month, generate records with T30 payment status effective June 1.

Replacement records may appear on the SDX update files generated during this period, or they may appear on later SDX updates. They should usually be received within forty five days of the T30 termination; can be identified by the presence of a Transaction Code equal to "OX" or "OY" and the absence of any SSI or State supplement payment amounts, and appear with a Transaction Code equal to "OZ" on the next Treasury file if certified for payment the following month (e.g., the June treasury file produced on/about May 15).

The Payment Status Code of a terminated T30 SDX record will always be T30. Both the SSI/Optional SSP Eligibility Date and the Medicaid Effective Date reflect the month and year of reaccretion, the Transaction Code equal to "OX", "OY", or "OZ" will be present in any of the following situations:

recipient has previously been paid on another SSN, or

recipient has previously been paid on this SSN but a change to the record necessitated a T30 termination, or

in couple records, when either member of the couple meets one of the above criteria.

Although most records replacing T30 terminations will have a Transaction Code of "OX", "OY" or "OZ" , some will not.

EXAMPLE: If a recipient has never been on the SSR, but his/her SSN was being used in error for another recipient, or when an essential person who is not a spouse becomes eligible in his/her own right, the Transaction Code will be "01". Since these records are not actually reaccreted records, the Transaction Codes covering these conditions is "01" (new accretion).

The impact of the T30 procedure on State operations utilizing SDX records results from the termination of one record for an individual at a given point in time and the possible establishment of a new record, on the same or different SSN, for that individual containing only data from the date of record establishment and continuing.

Case history in SSR's terminated under the T30 process is not incorporated in replacement SSR's. Also the SSN in a replacement SSR may differ from the SSN in the original, terminated record. Thus, continuing eligibility for SSI, State supplementation and/or Medicaid may be readily determined from SDX records only where replacement records are uniquely identified and cross-referenced to the terminated records.

If a certain post-eligibility event processes at the same time the replacement record is established, identification and cross-reference of records is further complicated. For an essential person who marries (name change) and becomes an eligible individual and the recipient moves to another State effective the same date that the replacement record is effective (the T30 record would go to the prior State of residence and the replacement record would go to the new State of residence), etc.

Cross-reference of SSN's is indicated by the presence of a code 1, 2, 3, 4 or 5 in the SSN Cross-Reference Indicator and by the cross-reference SSN in the SSN-List of Multiple SSN's prior to the T30 action, SSN Cross-Reference Indicator will be equal to blank.

The Recreation Indicator contains one of two codes applicable to T30 records,

T record being terminated; will not be reaccreted, and

X record being terminated, will be reaccreted.

HOW SDX SHOWS SSN CHANGES

In the system, records are sometimes set up under invalid or incorrect SSN's. SSA's automated verification processes or State/DO research can result in an SSN correction transaction.

When the SSI system replaces a "pseudo", invalid **or incorrect** SSN with a verified SSN, the SDX record reporting the number change transaction is identified by an "A7" in the SSN Correction Indicator.

The significant data elements in a number change transaction and their contents are as follows:

DATA ELEMENT	CONTENTS
SSN"	"Old" SSN (SSN under which all prior transactions have been reported.)
SSN CORRECTION INDICATOR	A
SSN - LIST OF MULTIPLE SSNs	"New" SSN (SSN under which all subsequent transactions will be reported)

If an eligible individual's SSN is changed, and he or she has an eligible spouse and/or essential person at the time the number change transaction is reported for the individual ("A" in SSN Correction Indicator field), a record is also generated for the spouse and the essential person with the cross-reference SSN updated to reflect the individual's new SSN. No other fields on the spouse or essential person's record reflect that a number change for the individual has occurred (i.e., SSN Correction Indicator will be coded "A").

SSN changes for eligible spouses and essential persons are reported in the same way as a change for an eligible individual.

The Social Security number field contains the old number of the eligible spouse/essential person. SSN Correction Indicator field contains a code of A, and SSN-List of Multiple SSN's field contains the new number. A record for the eligible individual is generated with the updated cross-reference SSN(s).

After the "A" transaction record, all subsequent transaction records for the individual/spouse/essential person are reported with the new SSN in the Social Security number field. The old

number will be in the first available multiple SSN field. The SSN Correction Indicator field will be coded "B".

An SSN change transaction and a subsequent transaction for the individual/spouse/essential person may appear on the same SDX file. NOTE: Actions may take two days.

States maintaining fields in SSN sequence must keep in mind that if the corrected SSN is lower than the old SSN, the "B" record reporting a subsequent action with the new SSN will be the update file before the "A" record reporting the SSN change.

NOTE: It is possible to receive only an "A" type record; e.g., a denied case for which no subsequent actions are reported by the district office.

HOW SDX SHOWS INTER/INTRA-STATE MOVES

All residence changes of a SSI/State Supplementation applicant/recipient are reported through the SDX system. The following areas concern the State(s);

inter-state moves,
intra-state moves, and
temporary moves.

When an SSI/State Supplementation applicant/recipient moves from one State to another, two transactions are generated:

- * a record with a Transaction Code of "03" or "04" goes to the new State of residence, and
- * a record with a Transaction Code of "05" goes to the old State.

Data reported to the old State will be in a Transaction Code of "05 " record, which is the last record the old State will receive concerning the recipient. That record contains all data as they were previously reported to the State.

The address change with related eligibility information is reported to the new State via a SDX record with Transaction Code of "03" or "04". The Date Residence Began is the month, year and century that the recipient established residence in the new State.

If the Recipient's move is to a State that makes its own Medicaid eligibility determinations, the Medicaid Effective Date is equal to zeros. In addition, the Medicaid Eligibility Code is "S", except if the move is from a State with Federal Medicaid determinations and the effective date is in the future (e.g., for the next month). The Medicaid Eligibility Code will still indicate the Medicaid status in the old State.

The following chart shows the variations in the Medicaid Eligibility Code equal to "03" and "04" transaction when the recipient moves into a State for which SSA is making the Medicaid determinations:

Federal Medicaid Determination from old State	SSI/SS Eligibility Old State	SSI/SS Eligibility New State	Transaction Code	Medicaid Eligibility Code
Yes	Yes	1	03	Y
	---	NO	04	R
	No	---	04	R
	---	Yes	03	Y
	YES	1	03	S
No	---	No	04	R
	No	---	04	S
	---	Yes	03	Y

- 1 If an individual will be ineligible in the new State because of excess income, a termination (Transaction Code of "07") record will be on the SDX update file following the initial update file when the SSI/State Supplementation benefit has been recomputed.

NOTE: If Goldberg-Kelly rights are involved, the Medicaid Eligibility Code may be "G". If Section 1619(b) of Title XVI of the act is involved the Medicaid Eligibility Code of "C", i.e., Payment State Code of "EO1", or "N01". If widow/widower or disabled adult child determinations are involved in 1634 States, or if deemed disabled adult child in State approved home care plans are involved in any State the Medicaid Eligibility Code may be "W", "D" or "B".

When a recipient moves from one location to another within the same State, a SDX record will be produced with one of two Transaction Codes.

- * A Transaction Code of "08" reports that there has been a change in data. That change may be only the change of a representative payee.
- * A Transaction Code of "09" reports all that can be reported in the "06" code, but also indicates there was a change to the SSI/State Supplementation amount at the same time.

Temporary moves are processed in several steps described below:

- * The residence address of a recipient dictates the State responsible for State Supplementation and/or Medicaid eligibility.
- * In some cases a recipient will notify SSA that they are going to another address for a visit but intend to return to their present residence. If a mailing address change is requested the new mailing address is entered into the system but the State and county code of residence is retained for determination of State responsibility for State Supplementation and/or Medicaid eligibility. A diary of 90 days is established manually by the FO.
- * After 90 days, if the recipient has neither returned to their residence nor notified SSA of a permanent change of residence, the FO determines if the address should be changed. If the recipient has moved, the FO inputs the new residence address.
- * The next SDX update record will report the change in residence address, State and County Code of Residence, and Date Residence Began. In some situations, the State and County Code of Residence may be inconsistent with the residence address on the SDX record; for example, where the individual has been placed in an out-of-State nursing home by the State supplementing the payments.

HOW GOLDBERG/KELLY AFFECTS THE SDX

The Goldberg/Kelly appeal rights require that an individual be given advance notice prior to any reduction, suspension or termination of payments. After receipt of the notice the individual may appeal this action and, if the appeal is filed timely, generally will receive payment at the prior rate until this appeal is resolved. Further, if notice is not sent timely, the SSI system always continues payment for the month following the month of notice. The effects that Goldberg/Kelly rights have on SDX processing are discussed below.

The effect of the Goldberg/Kelly provisions on the Appeals Flag is reflected below.

APPEALS FLAG CODE DEFINITION

- I In payment maintenance, but independent intervening event is applicable, Goldberg/Kelly applies.
- P Notice of Planned Action has been sent and appeal has been filed.
- S In payment maintenance, but eligibility exceeds, Goldberg/Kelly applies.
- T Notice of Planned Action has been sent, but could not be sent timely; therefore, higher payment has been maintained.
- " " No actual or potential Goldberg/Kelly involvement, or Notice of Planned Action has been sent, but no appeal or potential appeal has been resolved.

NOTE: The following example illustrates how an appeal of an intrastate move determination can have a direct effect on Medicaid eligibility.

If the SSR is annotated to show that a recipient has moved from State A to State B, a SDX loss update record (Transaction Code of "05") is sent to State A, and a SDX gain update record (Transaction Code of " 03" or "04") is sent to State B.

If the recipient appealed this move, a SDX loss update record is sent to State B with Appeals Flag of "P" and a SDX gain update record is sent to State "A" with Appeals Flag of "P".

In this situation, State B must be aware of potential Medicaid eligibility in the event the appeal is denied and a subsequent SDX gain record is forwarded to State B. Similarly, in the

situation where the system cannot provide timely notice and an interstate move is involved, the "losing" State, which receives a SDX loss update record (Transaction Code of "05") with an Appeals Flag of "T", must be aware of potential Medicaid eligibility if the recipient appeals the change of residency.

The Medicaid Eligibility Code of "G" for States with Federal determination of Medicaid eligibility whenever a posteligibility event occurs and an actual (Appeals Code "P") Goldberg/Kelly Code situation exists.

NOTE: The only exception to this rule is where an adverse action occurs to a recipient already involved in a Goldberg/Kelly appeal or the new Protected Payment level is \$0.00. In this situation, a Medicaid Eligibility Code of "R" (or "W" for widow(er)s or "D" for disabled adult children of "B" for deemed disabled children in State approved home care) will be reported in the SDX update record. In all situations, the SDX records forwarded to the States with State determination of Medicaid eligibility will contain code "S".

The Medicaid Effective Date will vary from "normal" relationships whenever an actual or potential Goldberg/Kelly appeal is involved. In a Goldberg/Kelly situation, a new Medicaid Effective Date is reflected whenever the Medicaid Eligibility Code of "G" is first reported. However, under Goldberg/Kelly rules, as long as an individual is receiving a SSI payment, Medicaid eligibility continues regardless of the payment status or other indications in the record of SSI eligibility.

Similarly, whenever a Medicaid Eligibility Code of "R" (or "W" for widow(er)s, or "D" for disabled adult children, or "B" for deemed disabled children in State approved home care) is reported via SDX as a result of a subsequent adverse action (as described in above), a new Medicaid Effective Date value will be reported in the SDX update record. When the Goldberg/Kelly appeal action is resolved, the Medicaid Effective Date reported in the SDX will again be created in accordance with present "normal" processing rules (generally, in relationship to the Payment Status Code). Again, this date is only reported to States with Federal determination of Medicaid eligibility.

The relationship between eligibility data and payment data may vary from current relationships whenever Goldberg/Kelly procedures are involved. Goldberg/Kelly procedures are normally invoked because the recipient appeals the lower payment, which is in direct relationship to the eligibility data, and SSA reinstates the former higher payment until the recipient's appeal can be resolved.

SDX records containing Transaction Code of "04", "05" or "07", which in the past have signified ineligibility or removal from the State, may require modified processing by the State agencies as Goldberg/Kelly involvement may reactivate such "terminated" records. The relationships of eligibility data, address data, etc., with the payment data and Medicaid Eligibility Code may vary from current relationships whenever Goldberg/Kelly procedures are involved.

An individual may appeal residency in a State where Federal determinations of Medicaid eligibility are not made and SSA will have to reestablish payment and Medicaid eligibility related to the contested State where there are Federal determinations of Medicaid eligibility. In this case, the SDX update record would show the State and County Code and Medicaid status applicable to it while the address data element indicates a different State.

The State and County Code of Jurisdiction may vary from current relationships whenever an actual Goldberg/Kelly appeal is involved (insofar as actually representing the State responsible for supplementation in certain situations involving residency). The data element will reflect the State to actually receive the record. The States of New York and Washington will also reflect the county wherein residency is being appealed.

FORCE PAYMENT

Currently, the SSI system cannot automatically compute the payment amount in certain events involving a change in record composition. The DO may initiate "force payment" on the record. Under this procedure the DO manually computes the payment amount and transmits that amount, with the Payment Status Code of "M01", to the SSI system. The information on the SSR is reported monthly to the U. S. Treasury Department for issuance of check payment in the same manner as cases whose payment is systems computed.

The force payment procedure places the record under manual control. Consequently, data ordinarily associated with payment amount, such as unearned income on the record, is not used to automatically compute the payment amount. Further, all subsequent actions involving a revised payment amount for a record in "M01" payment status (i.e., Payment Status Code of "M01") will also require a manual computation by the DO through force payment procedures.

The force payment records will appear on SDX update, treasury and reconciliation records with the Payment Status Code of "M01". Since such records involve bypassing some of the data validation routines in the SSI system, inconsistencies may exist in some SDX data elements. The following will reflect accurate data.

- SSN
- Individual Name
- SSI Monthly Assistance Amount
- Medicaid Effective Date
- Payment Status Code
- Medicaid Eligibility Code
- SSI Gross Payable Amount
- State Supplement Amounts

If there is a change of address and/or payee, the following should reflect current and accurate information on the SDX record containing the Transaction Code of "03", "04", "08" or "09" in the SDX record.

- Payee Name and Address
- Federal Living Arrangement Code,
- Residence Address
- State and County Code of Jurisdiction data elements

A case with a "M01" Payment Status Code (force payment) cannot be considered to be in an active payment status unless SSI Gross Payable Amount and/or State Supplement Gross Payable Amounts are present in the record. When the SSA DO transmits a Payment Status Code of "M01", it manually computes the SSI payment (both Federal

and State) which the recipient is due and transmits this amount.

It may or may not transmit the information on which this payment amount is based (example: unearned income information).

Therefore, in a force payment case other information on the SDX record cannot be considered to be reliable. A force pay case will usually be placed into T30 payment status within a few months and be reestablished.

SDX RECORD FOR ESSENTIAL PERSON

If an eligible individual has an essential person, the State will receive a separate SDX record for the individual and the essential person. The Recipient Type Code is always "EP" for an essential person.

For cross-reference purposes, the following in an individual's record indicate the presence of an essential person's record.

Essential Person Indicator

SSN of the Essential Person of Eligible Individual

WIN of Essential Persons

SDX records for essential person contain data for both the essential person and the eligible individual. Most of the data on the essential person's record duplicates data on the individual's record. However, the following in an essential person's SDX record apply only to the essential person.

SSN

Claim Number

Recipient Type Code (always "EP")

Individual's Name

Sex Code

Race Code

Date of Birth

SSI /Optional SSP Eligibility Date

Medicaid Effective Date

Death Date

Medicaid Eligibility Code (always "S")

SSN-Multiple SSN Indicator

SSN-List of Multiple SSNs

Resource Code - House

Resource Code - Life Insurance
Resource Code - Income Producing Property
Resource Code - Other
Unearned Income - Number of Entries
Unearned Income Type Code
Unearned Income Start Date
Unearned Income Stop Date
Unearned Income Amount
Unearned Income Frequency
Claim Identification Number
Unearned Income Verification Code
Earned Income Period
Earned Income Wage Estimate
Earned Income - Net Self-Employment Estimate

THE EFFECTS OF SECTIONS 1619(a) AND (b) OF Title XVI OF THE ACT

1619(a)

Section 1619(a) of Title XVI of the Act, established by Section 201 of Public Law 96-265 and subsequently amended (e.g., PL 99-643), provides for special payments for a disabled person who engages in SGA (substantial gainful activity). Under prior law, these individuals would have been terminated (Payment Status Code of "N07 ") after the second month following the first month of cessation of disability due to SGA.

This law permits continuing SSI payments to disabled persons while they engage in SGA, as long as countable earned income plus unearned income are below the SSI break-even point and all other eligibility criteria are met, and regular SSI payment was made for at least one month.

States with Federally administered optional supplementation are given the choice of whether or not optional supplement payments are made for months of eligibility for special SSI payments. Otherwise, these payments will be computed and paid in the same manner as regular SSI payments.

1619(b)

This provision provides that a blind or disabled person can be determined to have special status under the SSI program as an SSI recipient for the purposes of Title XIX (Medicaid) during a period of suspense due to excess income. In order to be potentially eligible for Title XIX while in non-pay due to excess income, an individual must be eligible for payment if the record was computed without the earned income.

In addition, the individual must meet two other criteria relating to the individual's need for Title XIX to continue working and the sufficiency of the individual's earnings to provide for the equivalent medical benefits themselves. The individual must also be eligible for a regular SSI payment for at least one month prior to a period of special status as a SSI recipient (for purposes of Title XIX) in order for this provision to apply.

NOTE: The formal determination of eligibility for Medicaid and social services is made by the State. SSA's responsibility is to make determinations as to a disabled or blind individual's status for purposes of Title XIX while in non-pay due to excess earned income. These status determinations are not necessary for disabled individuals receiving special SSI payments or for disabled or blind individuals receiving regular SSI payments.

Sections 1619(a) and (b) of Title XVI of the Social Security Act, as established by Section 210 of Public Law 96-265 were effective January 1981. They were initially established as a three year demonstration project and were made permanent as of July 01, 1987.

Because of the complex nature of the technical provisions of Sections 1619(a) and (b) of the Act, and the diversity of State Medicaid services, on occasion it may be necessary not only to examine those data elements pertinent to routine Medicaid determinations but related entries in the Multicategory and Medicaid Test Indicators.

The automated SSI system will compute and pay regular SSI payments. Once it is determined that a special SSI payment is potentially due, the procedure is essentially the same as for regular SSI payments.

If the system computes a special SSI payment due, the numeric codes of "4 ", "5", "6" or "7" representing regular payments and the absence of SGA will be converted to "D", "E", "F " or "G" respectively for months for which special SSI payment is due despite SGA as provided for by Section 1619(a). The numeric codes will be converted to "H", "J", "K" or "L" respectively when the computation is such that no payment is due but the provisions of 1619(b) for special status apply. The codes will also be "H", "J ", "K" or "L" when the individual is eligible for a SSI payment under Section 1611 of the Act.

The use test is a determination of the individual's need for continuing eligibility under Title XX to be able to continue working. The insufficiency of earnings test is a determination of the individual's ability or inability to provide for equivalent medical benefits by themselves. The countable income criteria, after being determined, are input to the record by the SSA FO's.

When an individual is determined by SSA to qualify under 1619(b) of the Act a code of "B" will appear in the Medicaid Test Indicator, and the State should consider the individual to be receiving SSI payments for purposes of Title XIX according to Title 42 Code of Federal Regulations (C.F.R.) 435.4 and 435.120 45 C.F.R., 1396.1 and 1396.60.

When a 1619(b) determination is pending, codes "A" or "F" will appear and the State should consider the individual to be receiving SSI for purposes of Title XIX according to the cited regulations. When the individual is determined by SSA to not qualify under 1619(b) for the reasons shown above, codes "C", "D", "E", "G", "H", "J ", "K", "L" or "M" will appear.

IAR INTERIM ASSISTANCE REIMBURSEMENT

HOW A STATE ESTABLISHES IAR WITH SSA

States and political subdivisions can be reimbursed by SSA from the first check due individuals for some cash assistance paid to individuals pending the SSI allowance.

The following shows the actions required to establish an IAR agreement between the State and SSA.

STEP ACTION

- 1 The State establishes an IAR agreement with SSA by contacting the appropriate RO.
- 2 The State must provide the SSA CO with a State agency or agencies that will be payee for IAR. The State is responsible for keeping payees current by notifying SSA (through the RO's) of any changes, deletions, or additions.
- 3 The State must elect to participate in either the manual or automated process.

HOW TO ESTABLISH, CHANGE OR DELETE A STATE AGENCY (OR AGENCIES) AS A PAYEE FOR IAR

The State must establish, change or delete a State agency or agencies (no more than one per county) as payee for IAR.

If an Agency is acting as payee for an IAR case the address is limited to four lines, thirty-five characters per line.

The steps for establishing, or changing, an agency as the payee for IAR are described below.

STEP ACTION

1. Write a memorandum to the RO listing the State agency (or agencies) that will be payee for IAR.
2. Include the following information to establish, change or delete a State agency.

Payee name to be printed on IAR checks
Agency name to be used on IAR checks
Payee mailing address
Payee ZIP Code
State and County code

3. The RO will forward the request to SSA CO.
4. SSA CO will establish, change or delete the address on the master list.
5. SSA CO will notify the State of the effective date that the address will be established, changed or deleted.

STATE PROCESSING OF AUTOMATED IAR INPUT

States which maintain their SDX files in a central electronic processing facility can participate in an automated LAR process. This process will update the SSR with grant reimbursement data without going through the SSA RO and/or FO.

STEP ACTION

- 1 Match State welfare data elements against the SDX records that meet the initial claims or post-entitlement conditions as follows:

Initial claims - records do not contain a grant reimbursement code and have a Payment Status Code equal to "H" or "" (blank);

Post-entitlement - records which contain Payment Status Code equal to "N01-N11", "N19" - "N27" "S10" or "T01".

2. Create an input record.

- 3 Transmit via FTMS or thru SVES (See SVES Manual)

NOTE: A record (case) should not be selected for automated IAR when it:

- o it is a force pay case, or
- o IAR is already pending, or
- o the case has already been paid.

Actions by SSA

Match State input file against the SSR master file as follows:

SSN

First five positions of last name

First initial

Post State and County code to the SSR. Set appropriate GR codes. Create regular SDX record with Transaction Code equal to "BO" for successfully updated records, or "CO" for rejected records.

Create exception records

Send FTMS files and error records to State confirming action taken on the input records.

RECORD DESCRIPTION AND LAYOUT

LOCATION	DATA ELEMENT NAME/REMARKS	SIZE
* 1-9	SSN (must be all numeric)	9
10-21	Title II Claim Account Number	12
* 22-27	First six (6) positions of Surname	6
28	Middle Initial	1
* 29-31	First three (3) positions of First Name	3
* 32-39	Date of Birth (MMDDCCYY)	8
40	Sex Code	1
* 41-42	State Code	2
* 43-45	County Code	3
* 46-53	HR Date(MMDDCCYY)(surface reject if blank or zeros)	8
* 54-58	State and County Code of Reimbursement	5
59-66	blanks	8
* 67-68	Transaction Code - Constant "BO"	2
* 69-76	Date of Transaction (MMDDCCYY)	8
77-150	blanks	74

* = Required fields

* If sending through SVES, see SVES Manual for record layout.

HOW THE STATES CREATE RECORDS TO BE SENT TO SSA

Through the SDX system or SVES, SSA will accept files from the State to accrete, change or delete data contained in the WIN data elements or for the automated IAR process. **HOWEVER, SSA prefers electronic transmission via FTMS.** SSA will also respond to a request (query record) for an up-to-date copy of an individual's record in SDX format. The SDX operation will utilize the medium agreed upon for each State. The transmitting agency must notify the SSA CO components by letter enclosed in the file carton. States will be contacted to account for the retention of any SSA-owned tape beyond the thirty day limit.

WIN CHANGES

The WIN of the recipient, WIN of an Eligible Spouse and WIN of an Essential Person data elements are maintained in the SDX for State use. On Federal applications, the data elements initially contained zeros. A State may (at its option) accrete, delete or change data contained in these "WIN" fields. The State updates these data elements only if they need to maintain them. Although these data elements are entitled "WIN", the State may include alpha/numeric data (administrative county codes, supervisor numbers, dates, etc.).

QUERY RECORDS

By use of a State-to-SDX query, the State can request and receive up-to-date SDX records for all SSI claimants/recipients residing in the State, except applicants for whom SSR records:

- o have not yet been established;
- o are in pending status;
- o show a State of residence different from the requesting State.

The query system is an informational retrieval-only type. It cannot be used by a State to:

- * correct an invalid or incorrect data element on the SSR record;
- * notify SSA of incorrect data.

States can create an SDX response through a SVES input.

NOTE: Query responses are generally returned the next day.

See SVES Manual for procedures.

HOW SSA EDITS RECORDS FROM THE STATE

The following explains how SSA edits records from the state.

If the header record contains an invalid State code or the State code in the header does not match the State code in the first data record, the State file is not processed. Invalid codes are "00", "40", "48", "54", "55", ".56", "57", "58", "59", "60", "61", "62", and any code greater than " 64".

When a State code on a data record differs from the established State, it is changed on the input file to conform to the established State code, SSA annotates an alert condition.

The Record Length, the Record Identification Code, the Transaction Code and the Recipient Type Codes of the SDX record from the State should be transmitted exactly as received from SSA. This is because these data elements are used to determine that the correct record is accessed on the SSR master file for transmission back to the State, either as the response to a query or the acknowledgement of the accretion, deletion or change of a WIN.

The following data elements are critical in establishing a proper match between the State supplied input and SSR files:

- * SSN (data element 1) or in the absence of a SSN the Title II Claim Number (data element 2)
- * Individual's Surname (data element 3) (up to first 6 characters)
- * Individual's First Name (data element 3) (up to first 3 characters)
- * State Code (data element 6)

Failure to achieve an exact match on all of these data elements will result in a record not in file (NIF) condition and the generation of an exception record. A one character mismatch tolerance is permitted in the match on Surname; however, this incomplete match will result in an alert annotation in the SDX record to the State.

Prior to being released to the SSR match operation, the data in the SDX record from the State record will be subject to surface edit criteria. The following conditions will result in the generation of an exception record:

- . Invalid SSN or Claim Number (where no SSN is present the Claim Account Number will be edited)
- * Impossible Transaction
- * Invalid Transaction Date (not applicable to queries)
- * Alert Conditions

In order to provide the States with information concerning minor discrepancies in the data in the SDX record from the State record, the following conditions will result in one or more annotations being made to either the SDX acknowledgement or query response, or the SDX exception record.

- * Invalid or unmatched Date of Birth
- * Unmatched middle Initial
- * Invalid or unmatched Sex Code
- * Inconsistent State Code
- * Incomplete record format

RECORD LAYOUT FOR EXCEPTION FILE

POSITION	LENGTH	DATA ELEMENT
001-004	4	Record Length
005	1	Record Identification Code (Constant X)
006-007	2	Transaction Code "CO"
008-0030	23	Blanks
031-032	2	Input Transaction Code
033-0040	8	Input Transaction Date (MMDDCCYY)
0041-0042	2	Blanks
0043-0051	9	SSN
0052-0063	12	Claim Number
0064-0065	2	Blanks
0066-0095	30	Individual's Name
0096-0101	6	Blanks
0102-0109	8	Date of Birth with Century (MMDDCCYY)
0110	1	Sex
0111-0554	444	Blanks
0555-0556	2	State Code
0057-0607	51	Blanks
0608-0629	22	WIN of Recipient
0630-0645	16	Blanks
0646	1	GR Data Already on SSR "E"
0647	1	GR Input not Allowed "E" (terminated record)
0648	1	Person Not on SSR "E"
0649-0975	327	Blanks

0976	1	Invalid SSN or Claim Account Number "E"
0977	1	Impossible Transaction Code "E"
0978	1	Invalid Transaction Date "E"
0979	1	Unmatched SSN or Claim Account Number "E"
0980	1	Not in File "E" (no record on active SSR)
0981	1	State Code Not Valid "E"
0982	1	Unmatched State Code "E"
0983	1	Case in Pending Status "E"
0984	1	Invalid State or County Code (Code was not numeric)
0985-0994	10	Blanks
09951	1	Invalid or Unmatched Date of Birth
0996	1	Unmatched Middle Name
0997	1	Invalid or Unmatched Sex Code "E"
0998	1	Inconsistent State Code "E"
0999	1	Incomplete Match on Surname "E"

DESCRIPTION OF STATE INPUT FILE HEADER AND TRAILER FORMATS

ELEMENT	LGTH	DESCRIPTION	POSITION
1	1	blank	1
2	3	Tape File Identification (constant SDX)	2-4
3	1	blank	5
4	2	State Code Identification (assigned by SSA)	6-7

5	1	blank	8
6	6	Date (MMDDYY)	9-14
7	1	blank	15
8	1	Header Identification (constant "H")	16
9	1	blank	17
10	2	Reel Number	18-19
11	131	blank	20-150

The following shows the internal trailer record format for SDX State input

POSITION	LGTH	DATA ELEMENT	TYPE
1	1	blank	1
2	3	Tape File Identification (constant "SDX")	2-4
3	1	blank	5
4	2	State Code Identification (assigned by SSA)	6-7
5	1	blank	8
6	6	Date (MMDDYY)	9-14
7	1	blank	15
8	1	Trailer Identification (constant "T")	16
9	1	blank	17
10	2	Reel Number	18-19
11	1	blank	20
12	8	Number of State Identification Number Accretions (Transaction Code "10")	21-28
13	8	Number of State Identification Number Changes (Transaction Code "20")	29-36
14	8	Number of State Identification Number Deletions (Transaction Code "30")	37-44
15	8	Number of Query Records Transaction Code ("40")	45-52

16

8

Number of Records on Reel

53-60

WELFARE IDENTIFICATION NUMBER

(WIN)

CONTENTS OF STATE INPUT RECORD WIN

Position	Data Size	Type	Name	Description
001-009	9	N	SSN	SSN of the SSI claimant/recipient
010-021	12	AN	Title II Claim	If applicable, title II claim number on which currently entitled and/or insured
022-021	10	A	Individual's Name Surname(6) Middle Initial (1) First Name (3)	The first six letters of the claimant's/recipient's surname, first three of the first name and the middle initial.
032-039	8	N	Date of Birth	Date of birth of the claimant/recipient (MMDDCCYY)
040	1	A	Sex Code	Sex Code of the Applicant ("F", "M" or "U").
041-042	2	N	State Code	Geographic subdivision from which record is transmitted.
043-064	22	AN	WIN	State assigned identification.
065-066	2	AN	Transaction Code	Type of transaction being processed.1
067-074	8	N	Effective Date of Transmission	The date the transaction is effective

(MMDDCCYY).

075-150 76

Blanks

For future expansion

1 Transaction is then applied to the SSR if matching criteria are met. If there is an exception, the record is returned to the State with a code of explanation. Allowable codes are:

10 Accrete a WIN

20 Change a WIN

30 Delete a WIN

40 Query the SSR for up-do-date record